

Stenographic Transcript
Before the

Subcommittee on Strategic Forces

COMMITTEE ON
ARMED SERVICES

UNITED STATES SENATE

UNITED STATES NUCLEAR DETERRENCE POLICY

AND STRATEGY

Wednesday, April 28, 2021

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U.S. Senate

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Subcommittee on Strategic

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Forces

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Committee on Armed Services

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Washington, D.C.

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11 The subcommittee met, pursuant to notice, at 4:35 p.m.

12 in Room SD-562, Dirksen Senate Office Building, Hon. Angus

13 King, chairman of the subcommittee, presiding.

14 Committee Members present: Senators King [presiding],

15 Reed, Manchin, Rosen, Kelly, Fischer, and Tuberville.

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1 OPENING STATEMENT OF HON. ANGUS KING, U.S. SENATOR
2 FROM MAINE

3 Senator King: I am Angus King, the chair of the
4 Subcommittee on Strategic Forces of the Committee on Armed
5 Services.

6 We welcome our witnesses today. I welcome the chair of
7 the feel committee, Senator Reed, who is with us.

8 First, I want to thank our witnesses for appearing
9 today to give their views on nuclear deterrence policy and
10 strategy.

11 Sitting at this witness table, you folks may not like
12 hearing this, but is in excess of 150 years of experience
13 within our government and academia on the role of nuclear
14 weapons in our National Security Strategy.

15 Let me thank Senator Fischer for working with me on
16 developing this hearing, which I feel is of up most
17 importance. In preparation for the hearing, the
18 subcommittee has received two classified briefings on the
19 nuclear capabilities of other countries around the world and
20 the authority of the President to deploy and use nuclear
21 weapons. They were sobering briefings.

22 With this background, it is now time to begin a series
23 of open hearings on nuclear deterrence policy and how the
24 Department of Defense and Energy are ensuring our nuclear
25 deterrent, which former Secretary Carter has called the

1 bedrock of every national security operation we take today,
2 to be sure that it is modernized and able to deter nuclear
3 threats to the United States.

4 As General Kehler has often said, a great paradox of
5 nuclear weapons and our deterrent is that in order for
6 nuclear weapons to never be used, they always must be
7 capable and ready for use. There is no more serious topic
8 before the Armed Services Committee than ensuring our
9 nuclear deterrent is safe, sound, and effective.

10 We will open with 5-minute witness statements and
11 alternate with 5 minutes of questions between each side of
12 the table for each member. We do have a six o'clock, 6:00
13 p.m. hard stop, due to the President's address to the
14 Congress tonight.

15 With that, let me turn to Senator Fischer, ranking
16 member of this committee, and resident of Nebraska, for any
17 comments that she might have.

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1 STATEMENT OF HON. DEB FISCHER, U.S. SENATOR FROM
2 NEBRASKA

3 Senator Fischer: Well, thank you, Senator King, and I
4 join you in welcoming our witnesses.

5 Many of you have appeared in front of this subcommittee
6 and the full Armed Services Committee before, so it is good
7 to have you back with us today and to, again, be able to
8 benefit from your wisdom and your council. Your testimony
9 comes at a critical time. We have a new administration in
10 place that will be reviewing United States' nuclear posture,
11 as well as the modernization programs established by its
12 predecessors.

13 Longstanding opponents to the United States' nuclear
14 modernization are also renewing their arguments to delay and
15 defer modernization, despite repeated testimony that these
16 programs have no margin for additional delay and some are
17 already late to need; meanwhile, the global security
18 environment continues to shift toward multipolarity and as
19 Admiral Richard testified last week, the nuclear arsenals of
20 our adversaries continue to grow, including what he
21 described as a breathtaking expansion of China's nuclear
22 forces.

23 I look forward to hearing your assessments of these
24 trends and what they mean for U.S. nuclear policy and
25 posture.

1 Thank you, Mr. Chairman.

2 Senator King: Thank you, Senator Fischer.

3 We'll proceed around the table with each of you making
4 an opening statement of approximately 5 minutes.

5 Mr. Franklin C. Miller, please.

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1 STATEMENT OF FRANKLIN MILLER, PRINCIPAL, THE SCOWCROFT
2 GROUP

3 Mr. Miller: Is this better? There we are.

4 Chairman King, Ranking Member Fischer, Senator Reed,
5 members of the subcommittee, I am honored to appear before
6 you begin.

7 The emergence of North Korea as a full-fledged nuclear
8 weapons state and Iran's continued lurching progress toward
9 adding a nuclear front end with already impressive ballistic
10 missile force have undoubtedly made nuclear deterrence today
11 more complicated. Those threats notwithstanding, the
12 principal nuclear issue the United States faces today and
13 for the foreseeable future is to deter Russian and Chinese
14 adventurism; adventurism, which could well result in full-
15 scale war with potential for nuclear use.

16 Both Vladimir Putin and Xi Jinping believe their
17 nuclear arsenals have great value and have been engaged in
18 major modification, and in China's case, expansion of those
19 arsenals for at least the past decade, while the United
20 States has been debating the need for new systems.

21 U.S. nuclear policy is virtually unchanged since the
22 Kennedy years. Our nuclear weapons exist to serve to deter
23 nuclear attack on ourselves and our allies, and as a last
24 resort, to deter major non-nuclear attack. Our policy and
25 programs seek to make clear to potential aggressor

1 leaderships that there will be no winners in a nuclear war
2 and an act of armed aggression against us or our allies
3 risks escalation.

4 The best description I have of nuclear deterrence is
5 from the Scowcroft Commission Report in 1983. I quote, in
6 order for deterrence to be effective, we must not only have
7 weapons, we must be perceived to be able and prepared, if
8 necessary, to use them effectively against the key elements
9 of an enemy's power.

10 Deterrence is the set of beliefs in the minds of the
11 enemy leaders, given their own values and attitudes about
12 our capabilities and our well. It requires us to determine,
13 as best we can, what will deter them from considering
14 aggression, even in a crisis, not to determine what will
15 deter us.

16 Conversely, over the past decade and a half, Russian
17 nuclear strategy has evolved into one seeking, offensively,
18 to menace and intimidate Moscow's neighbors, many of whom
19 happen to be our allies. Chinese nuclear strategy remains,
20 as it always has, opaque. But there is strong and emerging
21 intelligence that Beijing is studying and adapting the
22 Russian model. So, the difference between the U.S. approach
23 to deterrence as a defensive tool and the Russian and
24 Chinese leadership's approach as offensive tools to reshape
25 the global and regional order is obvious.

1 Some analysts argue that deterrence of Chinese and
2 Russian aggression no longer depends on nuclear weapons, but
3 rather, upon space, cyber, advanced conventional forces, and
4 technologies, such as artificial intelligence. That
5 notwithstanding, we must understand that Xi or Putin, in any
6 decision to use force against the United States or our
7 allies, would have to take that decision in light of the
8 backdrop of our nuclear forces.

9 In considering whether to commit armed aggression at
10 any level, they must weigh the risk that, ultimately, such
11 aggression could lead to nuclear war; a nuclear war which
12 could lead to the destruction of Russia and China, as they
13 treasure it, and that fact is crucial. This requires us to
14 have a modern and credible nuclear deterrent, but we are at
15 a critical juncture with regard to the viability of the U.S.
16 strategic deterrent.

17 I hate to use the now overused word inflection point,
18 but that is where we are. Remember that the foundations of
19 today's Triad were laid in the late 1950s and early 1960s.
20 Twenty years after that, the Reagan administration
21 recapitalized the Triad. Twenty years after that, the Bush
22 43 administration should have undertaken a similar
23 recapitalization, but it did not. And so, we find ourselves
24 today relying on the fruit of the Reagan program, but that
25 fruit is overripe.

1 We have a force which will, over the next decade,
2 require modernization or retirement; there is little ground
3 in between. The Triad has demonstrated its value over the
4 past 60 years, but if we don't replace the Minuteman with
5 GBSD, we will lose the Triad within a decade. The Ohio-
6 class SSBNs, which carry our sea-based deterrent, will have
7 to be retired beginning in about 10 years. Their
8 replacement by a minimum of 12 new Columbia SSBNs must
9 continue, but the Columbia program is a necessary, but not
10 sufficient modernization. The Trident II D5 missile must be
11 upgraded if it is to remain operational through the late
12 2040s, as planned. And the proposed W93 Warhead, just
13 beginning concept development, is needed to rebalance the
14 SOBM fleet and eliminate a looming and dangerous
15 overreliance on the W76. Then, two long-range standoff
16 weapons is required to replace the 1981-era air-launched
17 cruise missile.

18 So, is it not too fine a point to make that whether we
19 follow through on strategic deterrence is a test of both,
20 capability and will; capability, which if not modernized,
21 will be found lacking, and will to carry out the program on
22 which deterrence rests. Consequently, I urge the committee
23 to support the modernization of our nuclear forces by
24 proceeding with the programs endorsed by the past two
25 administrations.

1 Finally, while I don't have time in these remarks to
2 address the narcissistic, self-indulgent, dangerous, and
3 destabilizing suggestion that the U.S. adopt the no-first-
4 use policy, I would be happy to respond to a question about
5 that.

6 Thank you, sir.

7 [The prepared statement of Mr. Miller follows:]

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1 Senator King: Thank you, Mr. Miller.

2 General Kehler?

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1 STATEMENT OF GENERAL CLAUDE KEHLER, FORMER COMMANDER,
2 UNITED STATES STRATEGIC COMMAND

3 General Kehler: Good afternoon, Mr. Chairman, Senator
4 Fischer, Chairman Reed, distinguished members of the
5 subcommittee.

6 I am very pleased to bring the prospective of almost 4
7 decades of military service and senior military command to
8 the conversation, much of that in nuclear-related duty. I
9 will be presenting my own views today.

10 Mr. Chairman, we face more complex security problems
11 and greater uncertainty than we did during the decades of
12 the Cold War. Twenty-First Century deterrence and extended
13 deterrence policy and doctrine must now account for a wide
14 variety of potential adversaries with differing motivations
15 and objectives. New threats from long-range conventional
16 and hypersonic weapons, cyber weapons, and anti-satellite
17 weapons are growing. Many of these can arrive at our
18 doorstep quietly and quickly.

19 Today, a strategic attack against the United States or
20 our allies may begin covertly in cyberspace, instead of
21 overtly, via ICBMs over the pole. In such an environment,
22 it is attempting to question the continued role of our
23 nuclear weapons and the need for major investment in our
24 nuclear forces. I think the answers are clear, yes,
25 strategic deterrence, based on nuclear weapons remains as

1 important today as it was during the Cold War, and, yes, it
2 is critically important that we modernize the nuclear
3 deterrent force and support the men and women who operate,
4 secure, and maintain it.

5 So, here are a few points for you to consider. First,
6 nuclear weapons are not gone from world affairs and they are
7 not going to be gone anytime soon. Russia and China seek to
8 change the international order and they are aggressively
9 modernize, increasing, in some cases, their nuclear arsenals
10 as the foundation of strategies designed to diminish our
11 power and prestige, coerce our allies, and reduce our global
12 influence.

13 North Korea has acquired nuclear weapons. Iran remains
14 a country of interest and India and Pakistan present their
15 own challenges.

16 Nuclear weapons continue to pose the gravest threat.
17 It bears remembering that Russia has the capability to
18 destroy the United States with nuclear weapons over the
19 length of time it takes to conduct this hearing. China
20 appears to be on a pathway to do the same.

21 My second point, nuclear deterrence remains
22 foundational to our security and that of our allies.
23 Today's nuclear force is smaller, postured less aggressively
24 and is less prominent in our defense strategy than it was
25 during the Cold War, but the principles of deterrence remain

1 the same. Our nuclear weapons prevent the actual or the
2 coercive use of these weapons against us and our allies, but
3 they also constrain the scope and scale of conventional
4 conflict. They compel adversary leaders to ponder the
5 consequences of their actions before they act, and because
6 we extend our nuclear umbrella over them, they obviate the
7 need for most of our allies to acquire their own. Strategic
8 deterrence is the basis for our entire defense posture.

9 Nuclear weapons are but one tool we must bring to bear
10 to sustain deterrence today, but no other weapon creates the
11 same deterrent effect and we must be very careful that
12 efforts to reduce their role, further reduce their numbers,
13 or restrict their use does not encourage or incentivize
14 adversaries to do the very things we are trying to prevent.

15 Third, the Triad remains the most-effective way to meet
16 our Twenty-First Century deterrence objectives. Since the
17 1960s, our deterrence has been based on the familiar Triad
18 that you know: the ballistic missile submarines, land-based
19 ICBMs, and long-range bombers. Each leg contributes a
20 primary attribute to deterrence; subs at sea are survivable,
21 ICBMs are responsive, and bombers are flexible.

22 Together, the three legs present an enemy with
23 insurmountable attack and defense problems and they provide
24 the mixture of systems and weapons necessary to hold an
25 adversary's most-valuable targets at risk with the

1 credibility of an assured response, if needed; that is the
2 essence of deterrence.

3 My next point isn't very well understood, but,
4 basically, we have been relying on a dyad of at-sea
5 submarines and ICBMs to provide daily deterrence since the
6 bombers were removed from nuclear alert in 1992. We still
7 have a Triad with all its benefits, but only if the
8 President orders are the bombers readied for nuclear use.
9 Submarines and ICBMs, together, have allowed the bombers to
10 be released for use in a wide variety of conventional
11 missions with great effect.

12 Removing bombers from daily alert validated the
13 importance of the subs. It also raised the importance of
14 ICBMs as a mainstay of deterrence, as a hedge against
15 unforeseen technical problems in the subs or advances in
16 anti-submarine capabilities, and as an enabler for
17 adjustments in the at-sea submarine force. Retiring ICBMs
18 would create unprecedented and unacceptable risks as we go
19 into an uncertain future, and in my view, would require
20 returning bombers and tankers to nuclear alert.

21 Fifth, it is time to proceed with the bipartisan
22 commitment to modernize the Triad, the supporting command
23 control and communication systems, and nuclear weapon
24 industrial base. Russia and China watch our nuclear forces
25 and track our modernization efforts very carefully.

1 The credibility of the U.S. nuclear deterrent is based
2 on demonstrated capabilities and the willpower to use
3 nuclear weapons in extreme circumstances when vital national
4 interests are at stake, and that capability will have to be
5 clearly communicated to any potential adversary.

6 Triad platforms are well beyond their design and
7 service lives and we are out of margin. Modernization of
8 two legs has begun, but completing the comprehensive program
9 is the most important step Congress can take to ensure our
10 deterrent remains credible and our nation secure.

11 Finally, I urge caution as you consider changes to
12 nuclear authorities or the nuclear-decision process. The
13 legal and procedural implications of certain changes that
14 have been proposed are significant with unknown impact on
15 deterrence. Based on my experience, I believe the current
16 chain of command is clear and the decision process strikes
17 the right balance between Twenty-First Century security
18 needs, safeguards, and positive civilian control over the
19 use of the weapons.

20 Thanks for inviting me, and I look forward to your
21 questions.

22 [The prepared statement of General Kehler follows:]

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1 Senator King: Thank you, sir.

2 Dr. Bracken?

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1 STATEMENT OF DR. PAUL BRACKEN, PROFESSOR OF MANAGEMENT
2 AND PROFESSOR OF POLITICAL SCIENCE, YALE SCHOOL OF
3 MANAGEMENT

4 Dr. Bracken: Good to be here. Thanks for holding
5 these --

6 Senator King: I think you need to turn your mic on,
7 sir.

8 Dr. Bracken: I think it is on.

9 Can you hear me? Good.

10 What I would like to do today is to give a big picture
11 about nuclear weapons and the world, because any American
12 strategies would have to fit into that context. When I look
13 at the world, what I see is that the role of the bomb is
14 increasing its grip on world order.

15 What I mean by this is that more and more countries are
16 basing their fundamental security, their existence on
17 nuclear weapons. All nine countries with nuclear weapons
18 are now modernizing or expanding their forces. We even saw
19 recently where Great Britain is increasing their warhead
20 levels by 40 percent.

21 There are differences that have occurred in the
22 environment, compared to the Cold War and we must take
23 account of these. There are multiple decision-making
24 centers. What does that mean?

25 That means that Beijing, Moscow, Pyongyang can take

1 decisions which are really going to affect our nuclear
2 security and whether a nuclear war starts. I can imagine a
3 wide range of possibilities for whom winds up with whom. As
4 we saw in the Cold War, where we saw alliances flip, let's
5 not forget that one of the alerts of the Cold War was China
6 going on alert with its nuclear forces in 1969, but it
7 wasn't against the United States; it was against the Soviet
8 Union.

9 I use this as an example of a wide range of things that
10 could happen and I could imagine a very wide band of
11 possibilities. And one of my arguments today is we need to
12 consider this wider band of scenarios and possibilities. I
13 can consider this wide band almost a wide range of things,
14 but there is one I can't imagine, and that is total
15 disarmament. We are going to be stuck in this role for
16 something like 50 years or more.

17 It is also my view that the quality of the discussion
18 about deterrence has, in many respects, declined it what it
19 was in the Cold War. It is my view that the level of
20 deterrence we have against the surprise attack against the
21 United States, the bombers, subs, and missiles, is
22 excessively analyzed. I view it as a very remote
23 possibility and it is distracting us from other scenarios.

24 The way I put it is that 90 percent of the research or
25 the studies go into the surprise attack, "out of the blue"

1 attacks, okay, and the other 10 percent goes into accidental
2 war, and you were discussing this, with unauthorized use of
3 nuclear weapons, it is my understanding.

4 The significance of these trends is that we don't
5 consider that the conventional modernization of the U.S.
6 forces are investments into greater precision strike, into
7 cyber, and into space. They will all occur in a nuclear
8 context. Most of the wars that we are looking at, that the
9 Pentagon looks at, we will be fighting on the doorstep of a
10 major nuclear power, Russia or China, and this could not be
11 more dramatically different than fighting ISIS or the
12 Taliban.

13 This wider band of scenarios of how conventional
14 interacts with nuclear forces is what needs a lot more
15 consideration and if we miss some studies of a surprise
16 attack or one more study preventing accidental war, I am not
17 too bothered, because I don't think those probabilities are
18 very big to begin with in the first place.

19 Let me just finish up making some remarks about Chinese
20 nuclear strategy. Let me convey something I try to get
21 across to my students at Yale. Any discussion of nuclear
22 strategy in the United States or of another country like
23 China, has to be done at, at least three levels.

24 We have the declaratory policy. That is what the
25 President and the secretary say is going to happen and what

1 we will and won't do.

2 We have the operational level. What does the military
3 train on? What do the war plans say?

4 Then we have what I will call the real policy. What
5 would the President actually do in the event?

6 Focusing on any one of these, like declaratory policy,
7 I think leaves a lot to be desired. In China's case, when I
8 look at the modernization of their force, it is really
9 substantial and troubling, in my view, not only as to its
10 size, but its change in character. They are moving to
11 mobile nuclear forces, submarines, bombers, and mobile
12 missiles, and this means a tremendous amount of
13 communications and sensor updates, links going back and
14 forth. They have to track our targets and protect
15 themselves, and this opens up the world to all kinds of
16 intervention and, well, disruption with cyber, by both
17 sides.

18 And the last thing I will say is in the case of the
19 Chinese nuclear forces, let us not forget China is the only
20 country in the world surrounded by five nuclear weapon
21 states. Now, it is true that three of these countries,
22 Pakistan, North Korea, and Russia, are allies. How would
23 you like to have three allies like Russia, North Korea, and
24 Pakistan?

25 To say the least, it presents problems for them. I am

1 quite convinced that many Chinese nuclear weapons have
2 picked out targets in their three, quote, allied states, for
3 the good reason that their allies, their friends, are more
4 likely to bring catastrophe to China than the United States
5 is.

6 So, I think we are entering a new world. The
7 environment has changed so much that we really need a
8 fundamental rethink of what our deterrence policies are for
9 this world.

10 Thank you very much.

11 [The prepared statement of Dr. Bracken follows:]

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1 Senator King: Thank you, Doctor. I appreciate that.

2 Next is Dr. Brad Roberts.

3 Dr. Roberts?

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1 STATEMENT OF DR. BRAD ROBERTS, DIRECTOR, CENTER FOR
2 GLOBAL SECURITY RESEARCH, LAWRENCE LIVERMORE NATIONAL
3 LABORATORY

4 Dr. Roberts: Thank you, sir, and thanks to all of you
5 for the opportunity to, again, join you for a conversation
6 about nuclear policy and posture.

7 Let me also begin by underscoring that I am
8 participating in my private capacity and, thus, the views I
9 express are my own.

10 My core argument today is that the United States should
11 have the nuclear forces its strategy requires, not the
12 strategy our forces require. U.S. nuclear deterrent
13 strategy has been remarkably constant over many decades.
14 Now, to be sure, there have been many changes in the U.S.
15 practice of deterrence and to the associated forces,
16 especially since the end of the Cold War, as the role of
17 nuclear weapons has become much smaller in our overall
18 defense strategy.

19 But the fundamentals of nuclear deterrence, of
20 deterrent strategy have remained intact, despite these
21 changes to the practice of deterrence, and U.S. nuclear
22 deterrence strategy seeks to accomplish four main goals: to
23 deter threats to vital interests of the United States by
24 being able to put at risk those assets most valid by
25 adversary leadership. You have heard this many times.

1 The second goal is to respond if deterrence fails, in
2 order, and with the hope of restoring deterrence at the
3 lowest possible level of damage in a manner consistent with
4 our political objectives.

5 A thirty objective of the strategy is to extend
6 deterrence protection to our allies and partners, and
7 thereby assure them.

8 And, lastly, a goal of our strategy, especially since
9 the end of the Cold War, is to hedge against strategic
10 surprise, whether technical, geopolitical, or both.

11 Now, especially since the end of the Cold War, every
12 new administration has arrived wanting to move away from the
13 Cold War, move away from Cold War forces, away from Cold War
14 thinking, and there have been many advocates of big changes
15 to the practice and to the underlying strategy of
16 deterrence. That case for big change is usually made by
17 those who see the current U.S. practice of deterrence as
18 dangerously trapped in old ways of thinking and they
19 advocate, instead, for a different strategy, sometimes
20 called minimum deterrence or deterrence-only. There are
21 various names.

22 And the regular process of renewing U.S. nuclear
23 posture and policy through the 4-year reviews conducted by
24 new administrations, provides a valuable opportunity to
25 revisit these questions and retest policy assumptions in a

1 changing context. The latest version of the argument for
2 change, big change, comes from Secretary of Defense William
3 Perry, who, with his co-author, Tom Colina, makes four big
4 arguments, five big arguments.

5 First, the United States has been prepared for a
6 surprise Russian nuclear attack that never arrived and, in
7 all likelihood, never will. Second, the greatest danger is
8 not a Russian surprise attack, but a U.S. or Russian
9 blunder, that we might accidentally stumble into war.
10 Third, they argue if there is no significant risk of a
11 disarming first strike, then there is no need to launch
12 nuclear weapons first or quickly. There is no need for
13 presidential sole authority, other than for in retaliation,
14 no need for weapons on high alert, no need to launch weapons
15 on warning of attack, no need for ground-based missiles at
16 all, no need for weapons in Europe or Asia. Fourth, they
17 argue that there is every reason to believe that once
18 attacked with atomic weapons, a nation would respond with
19 everything it has got. And, lastly, they argue that the
20 Obama administration started an excessive program to rebuild
21 the nuclear arsenal, which the Pentagon took over as a
22 project to develop a plan to rebuild all parts of the
23 arsenal, as if the Cold War never ended.

24 Now, I disagree with this analysis. I think it points
25 us in the wrong direction and, thus, I disagree with their

1 recommendations. Let me offer four quick counterarguments.

2 First, the threat of nuclear attack on the U.S. and its
3 allies do not go away with a bolt out of the blue. We have
4 a new threat facing us. We have the threat of regional
5 conventional wars against nuclear-armed powers that could go
6 nuclear as they face regime-threatening circumstances. Such
7 wars present a series of particular nuclear risks, involving
8 the limited use of nuclear weapons by our adversaries.
9 Minimal deterrence offers no answer to these problems.

10 Responding with everything we have got to a Russian
11 deployment of one or two or three nuclear weapons somewhere
12 for limited effect is not going to be seen as anything other
13 than national suicide, because we would expect a massive
14 response to that. The adoption of minimal deterrence for
15 these new problems would increase nuclear risk, not decrease
16 it and would weaken the assurance of our allies.

17 Second, I disagree that an accidental stumble into war
18 is the greatest danger. I have already said what I think
19 the greatest danger is: the risk of a regional,
20 conventional war against nuclear-armed adversaries, where
21 they cross the nuclear threshold.

22 But of course we can't simply dismiss the risk of a
23 miscalculation or a breakdown in our warning or command and
24 control systems, but I am quite satisfied, as I hope you
25 are, that this problem attracts the needed high-level focus

1 from DOD leadership. And I agree with the recent DOD
2 statement that the U.S. alert system prioritizes surety over
3 speed. So, I don't agree with the Perry-Colina problem
4 statement.

5 My third counterargument is that minimum deterrence
6 offers no answers to the problems of extended deterrence,
7 the problems of multipolarity, Russia, China, North Korea,
8 all at the same time, and to hedge, to be prepared for an
9 unpredictable security environment.

10 Fourth, the modernization program and record is not
11 excess to requirements or a simple replication of the Cold
12 War force.

13 I have offered you three quick visuals in the written
14 statement I submitted for the record, to make that point.
15 So, my bottom line is, the longstanding deterrence
16 fundamentals underpinning U.S. strategy are sound. The
17 strategy is sound.

18 The alternative strategy is not sound. If implemented,
19 it would increase nuclear dangers in various ways. The
20 United States should maintain the forces required by this
21 strategy. ICBMs contribute something unique to each of the
22 four deterrence objectives I referred to. This requires
23 them, modernization of the full Triad, without delay.

24 Thanks so much.

25 [The prepared statement of Dr. Roberts follows:]

1 Senator King: Thank you all very much for thoughtful
2 testimony.

3 As I was sitting here, and I don't know why this didn't
4 occur to me before, but 55 years ago, right now, I was
5 writing my senior thesis in college on nuclear deterrence in
6 the spring of 1966. I would give anything to be able to
7 find that paper. I would probably be appalled if I read it
8 but let me begin with several questions.

9 Mr. Roberts, let me follow-up on something that you
10 mentioned. What is our doctrine with regard to, say, Russia
11 using a tactical nuclear weapon in Eastern Europe in the
12 context of an invasion of Ukraine or annexation of Poland or
13 some other similar kind of action; in other words, do they
14 feel, is there a deterrent to the use of a tactical nuclear
15 weapon, because, as you know, Mr. Putin has announced to
16 escalate to de-escalate strategy. I am wondering how
17 deterrence, as we have been discussing it, fits into that
18 scenario.

19 Dr. Roberts: Well, let me start the discussion. Well,
20 the United States has a policy that says that we reserve the
21 right to respond with nuclear weapons to, in circumstances
22 where, in extreme circumstances, where the vital interests
23 of the United States or an ally are in jeopardy. We don't
24 describe those circumstances. We don't identify the
25 national interests that we consider vital. We leave it

1 uncertain in a form of calculated ambiguity.

2 It makes it difficult for our adversaries to know
3 exactly where our red line is and, frankly, we may not know
4 exactly where our red line is until a conflict is unfolding
5 and puts certain interests at risk.

6 Senator King: So, there is a deterrent, but it is
7 ambiguous. I just want to comment on that scenario, because
8 I think that is one of the ones that we have to think about.

9 Mr. Bracken?

10 Dr. Bracken: I don't think we have a doctrine for
11 that.

12 Mr. Miller: I think that we, as exactly as described
13 by Dr. Roberts, have the forces, and have made the pledge to
14 defend our allies, and I think the Russians absolutely
15 understand that, and that is why, while they are rampaging
16 in Ukraine and in Georgia and taking Crimea, they threatened
17 NATO, but they haven't done anything to act against it.

18 Senator King: Let me ask another question. I think it
19 was mentioned, maybe, General Kehler, in your remarks. The
20 essence, or not the essence, but one of the essential
21 qualities of deterrence is credibility. Would you argue
22 that the modernization program that is underway now and its
23 continuation, is, in itself, part of the deterrent strategy
24 in order to show that we are willing to invest in a
25 credible, usable nuclear deterrent?

1 General Kehler: Absolutely, Mr. Chairman. I think if
2 you go back and look at what makes up deterrence, it is
3 convincing an adversary that they can't achieve their
4 objectives or they are going to suffer unacceptable
5 consequences if they try or both.

6 And in order to be credible, in creating that view, you
7 have to have capabilities that they see as credible
8 capabilities. This gets back to in order to prevent the
9 use, you have to be ready to use them and you have to have
10 the willpower, and that comes through declaratory policy and
11 other things that we do and say.

12 Senator King: And they know the condition of our
13 system. They know the age, and not doing this kind of
14 modernization would, itself, be a signal that would
15 undermine the credibility as a deterrent; is that correct?

16 General Kehler: I believe that is true.

17 Senator King: Okay. We have been talking about state
18 actors. Technology is advancing a pace. What happens, I
19 don't want to posit this as a likelier scenario, but it is
20 certainly possible, but what happens when a non-state actor
21 gets ahold of a nuclear weapon who is a suicide bomber, what
22 do they care? Deterrence, mutually assured destruction has
23 no relevance to them. How do we deal with that threat,
24 because I think that is a threat that we are going to face,
25 either through technological development in some cell in

1 wherever they are or through purchasing from a nuclear
2 country that has less scruples about this than others. How
3 do you apply the deterrence theory or, I guess, what is the
4 theory to prevent a nuclear attack by a non-state actor?

5 Mr. Bracken?

6 Dr. Bracken: I think there is a lot that actually can
7 be done, but it isn't in increasing deterrence of that; it
8 is increasing intelligence. This is a real issue with
9 India, Pakistan, clearly. It could be for other countries.
10 I would also say it is one of the huge differences in the
11 current environment, compared to the Cold War, where it was
12 the sort of threat that you would see in James Bond movies,
13 but that is about all. Today it is a very real threat
14 because of the security of existing nuclear weapons in
15 Pakistan, in India, and, perhaps, other places.

16 I think there should be, and there already is starting
17 to be intelligence sharing, technology, and such, with other
18 countries who face this threat. And those in DOD who are
19 doing this, should be commended for taking the initiative
20 there, in my view.

21 Senator King: This is a place where we have something
22 in common with our nuclear rivals.

23 Dr. Bracken: Most of the major powers might not agree
24 about a lot of things, but they do agree that they don't
25 want a nuclear war, number one, and they agree that they

1 don't want a terrific attack on themselves or one of their
2 allies, because it could drag them in. So, there is a real
3 basis for a discussion here and that is a good thing.

4 Senator King: Yes, I agree.

5 Senator Fischer?

6 Senator Fischer: Thank you, Mr. Chairman.

7 Gentlemen, a recent independent assessment performed by
8 the Institute for Defense Analysis concluded that, quote,
9 the U.S. adoption of a no-first-use policy will not bring
10 about a setting that is more conducive to positive behavior
11 by adversaries or to strengthen relations with allies. It
12 might have already constrained U.S. policy and procedure
13 governing nuclear use. The weight of the evidence indicates
14 significant potential for no-first-use to impart more harm
15 than good, end quote.

16 Do you believe we should maintain the current
17 declaratory policy and its element of calculated ambiguity?

18 Mr. Miller, let's start with you.

19 Mr. Miller: Yes, Senator, I do. I don't believe that
20 no-first-use does anything except make its proponents feel
21 good.

22 Those of you who understand college football remember
23 Woody Hayes when he said with a forward pass that three
24 things would happen, two of which were bad. With no further
25 use, four things will happen and all of them are bad.

1 Particularly, after the last 4 years, our allies will
2 doubt our commitment to their defense against massive
3 Russian attack. Second, as a result of that, those allies
4 who have the capability to develop their own nuclear weapons
5 will go a little bit further down that road. Third, given
6 the conspiratorial nature of the Chinese and Russian
7 regimes, they will never believe that we have actually made
8 that our policy. And, fourth, the Russian policy of first
9 use and the Chinese policy, which is, as Admiral Richard
10 told you, is very ambiguous, is not as a result of ours, but
11 because they have gone in a certain direction that they
12 think is their own.

13 So, no-first-use is just a terrible idea.

14 Senator Fischer: Okay. General Kehler?

15 General Kehler: Yeah, I think that a no-first-use
16 policy makes us less secure, Senator, and I think that for a
17 couple of reasons, and it is basically what Mr. Miller has
18 said. First of all, I think that a no-first-use policy
19 incentivizes our adversaries to act aggressively, to
20 include, perhaps, starting a major, conventional, regional
21 war, without facing the consequences of the ultimate risk,
22 and that gets back to Senator King's question, as well.

23 And then I think it removes a pillar of security from
24 our allies and that is a fundamental pillar for them. We
25 use our nuclear weapons, unlike every other nuclear-armed

1 country, in that we extend that guarantee to our allies.
2 And I think one other thing to be mindful of, no-first-use
3 presumes that the United States will maintain massive,
4 conventional superpriority and I don't think that is a good
5 presumption.

6 Senator Fischer: Thank you.

7 Dr. Bracken?

8 Dr. Bracken: Yes. I take a very different view of no-
9 first-use, and my view is that it needs to be very carefully
10 studied and articulated, not rejected out of hand, as a kind
11 of bad bumper sticker. There are a dozen different ways of
12 looking at no-first-use.

13 Let's take one of them. The U.S. has a de facto, no-
14 first-use of nuclear weapons today and we have had it since
15 the late 1960s. There is no scenario you can find at the
16 Pentagon that shows first use actually led to something. If
17 you look at U.S. presidential behavior or secretarial
18 behavior, it is strongly oriented toward a de facto no-
19 first-use.

20 Secondly, no-first-use needs to be considered in a
21 context of, like, when would you do it and over what time
22 frame?

23 Let me give an example of one that I happen to support.
24 The President declares no-first-use of nuclear weapons,
25 comma, guaranteed second use. If anybody does use nuclear

1 weapons, we will guarantee that we will punish them with
2 nuclear weapons. That is a variation.

3 Another variation is, we will not use nuclear weapons
4 first in 5 years to give Japan and Germany and others,
5 because the counter to this is that if the U.S. declares no-
6 first-use, Japan and Germany will go nuclear tomorrow
7 morning and this is absurd. Thank you.

8 Senator Fischer: I am running out of time.

9 I did want to point out, I agree with General Kehler
10 and with Mr. Miller.

11 And Dr. Roberts I am sorry, I am cutting you off here,
12 too, but the impact this has on our allies, I think is
13 immense and we have always guaranteed their security with
14 our nuclear deterrence, with our nuclear Triad. We have
15 always provided that umbrella of safety to them.

16 And in this study from the Institute for Defense
17 Analysis, that was always pointed out in there that our
18 allies are not seeking any change in our declaratory policy.

19 So, thank you, Mr. Chairman.

20 Senator King: We will likely have a second round, so

21 --

22 Senator Fischer: Oh, okay. Well, I have lots to go.

23 Senator King: I figured. I could tell.

24 Chairman Reed?

25 Chairman Reed: Thank you, Mr. Chairman.

1 As many have pointed out, particularly Professor
2 Bracken, that the world has changed since the Cold War.
3 Multiple countries now have nuclear weapons.

4 I think something else has changed, too, is the arms
5 control has lost a lot of traction. And it used to be my
6 impression, at least that every President who was elected,
7 has as one of his major foreign policy objectives, is to
8 secure an arms control agreement. Some are more superficial
9 than real, but there is this constant effort, as we have to
10 maintain it. And that was when we were in conflict with the
11 Russians and, the Soviets rather, and the United States.

12 I still think we need a vigorous arms control effort,
13 which I don't see being promoted anywhere. And I just
14 wonder, I will start with Professor Bracken and go around
15 the table.

16 Dr. Bracken: Yes, I absolutely agree with that. And
17 for one thing, and it is something that Congress can do
18 something about, is there is no arms control lobby inside
19 the United States Government. We abolished the Arms Control
20 and Disarmament Agency, which was a very valuable source of
21 ideas and innovation.

22 But you are right, the problem today is the arms
23 control concepts are ideal for the Cold War, but not for the
24 second nuclear age that we are in. It has to be multipolar
25 now, all right.

1 I can guarantee you, I mean, you can say all you want
2 that China won't join this. China is very attentive to some
3 arms-control issues, but it affects their security. And we
4 can start dialogues. We could start the framework for that.

5 And if I had to say one thing the United States needs
6 more desperately than anything else, it is a political and
7 moral justification for our defense programs, which today,
8 have only a military rationale. When we keep the pledge to
9 use nuclear weapons first and we don't declare no-first-use,
10 we are painting in big, 10-foot-high, red letters, nuclear
11 weapons are really, really useful. We are using them and we
12 are not building up conventional forces, as we should. You
13 might try them, other countries. And they are, North Korea,
14 Pakistan.

15 I will just say one more thing. We are going, in 10
16 years, we are going to have a world chockablock with nuclear
17 weapons where we expect to be fighting in Asia or against
18 Russia, and I don't think we have really taken that into
19 account, that North Korea could have 150 nuclear weapons,
20 Pakistan could have 300. It is going to be a different
21 world.

22 Chairman Reed: Mr. Miller, and then I will come down
23 to the General and Mr. Roberts.

24 Mr. Miller: Thank you, Senator.

25 Three things very quickly. One, I don't think

1 countries proliferate because we have nuclear weapons; they
2 proliferate because they want to dominate the region or
3 because one of their regional adversaries has nuclear
4 weapons or they want to deter U.S. conventional forces, like
5 North Korea.

6 Now, second, the problem with arms control is that we,
7 Americans, always look at these things in an altruistic
8 manner and the Soviets and now the Russians look at it in a
9 very transactional manner, and we didn't have anything to
10 trade, with regard to getting our arms around their short-
11 range nuclear weapons.

12 I think that is essential. I think we need to get an
13 arms control agreement about that. If there is a war in
14 Europe, that is where things are going to start, we need to
15 get our hands around that threat to our NATO allies, their
16 nuclear weapons of shorter range.

17 And, finally, we don't have an honest partner. The
18 Russians have broken eight different arms-control agreements
19 and accords that we had with them during the 1980s and
20 1990s. And so, I mean, we are not going to reform Russian
21 behavior, but we have to go into this thing with a very
22 clear-eyed view of whom we are playing with.

23 Chairman Reed: General?

24 General Kehler: I think we have gotten the benefit out
25 of arms control. If you just look at the sheer numbers of

1 weapons that were deployed in the Cold War and those that
2 were deployed today and the process it took to get there, I
3 think we had a successful process. I think that process
4 benefited us in other ways. It was a dialogue. I think we
5 learned a lot about what the Soviet Union and the Russians
6 were doing; what they thought, how they felt, and vice-
7 versa. I think that helps a lot.

8 However, I don't believe arms control at all costs. I
9 do agree with Professor Bracken's point that arms control
10 has to fit the Twenty-First Century. There are new things
11 out there today that have to be included and I don't think
12 they are of any value if they are not verifiable and the
13 other partner decides to cheat.

14 But I still think there has been benefit out of it and
15 I would like to see us have a process, at least, with a
16 mindset that the objective here is to make us more secure,
17 not to just have arms control for the sake of arms control.

18 Chairman Reed: Mr. Roberts, please.

19 Dr. Roberts: I think all three of the main actors from
20 an arms-control perspective, the United States, Russia, and
21 China, believe at this moment in the multipolar,
22 multidomain, complex world that competition serves their
23 interests more than cooperation in these areas. The case
24 for Russia and China has already been made.

25 Let me just say from a U.S. perspective, we have

1 decided to compete in our strategic posture with North
2 Korea. We have sought to put our missile-defense posture to
3 stay ahead of the North Korean missile threat and to develop
4 conventional strike capabilities that allow us to reenforce
5 that posture, and we are not ready to give up that
6 competition, because North Korea continues to grow and
7 present a growing threat to the United States.

8 It is difficult to come to mutual agreement about
9 normalizing a competitive relationship when the main
10 contenders all believe they have something to gain from
11 competition.

12 Chairman Reed: Thank you.

13 Just a comment, I think, as the General pointed out,
14 there were some benefits just to the process of talking.
15 You get a sense of where they might be going. You also
16 might have had an indication of a change in mood; i.e., that
17 they are planning something or something is going wrong.
18 And I concur with the complexity that all you gentlemen have
19 stated, but it might make sense to start, at least, with the
20 major players, Russia, China, and the United States, and see
21 if there is something there. I think, otherwise, we are
22 missing an opportunity. Thank you.

23 Thank you, Mr. Chairman.

24 Senator King: Thank you, Senator.

25 Senator Tuberville?

1 Senator Tuberville: Thank you, Mr. Chairman.

2 Thank you, guys, for being here today. You know,
3 Alabama is proud to be the missile defense host agency and
4 arsenal.

5 It is concerning to me that after going around
6 campaigning the last two years and going to Huntsville quite
7 a bit, that I keep going to these places and we are the only
8 ones that don't have a hypersonic missile. It is concerning
9 every time I go there. I know it is on the drawing board,
10 but you know how that is. Last week, you know, I shared my
11 concern with Admiral Richard about Russia and China
12 outpacing us.

13 General Kehler, if the U.S. were to sacrifice nuclear
14 modernization, in order to focus on conventional
15 modernization, what effect do you believe that this would
16 have on the long-term, U.S. competitive relationship with
17 Russia and China?

18 General Kehler: Senator, I have never believed that we
19 should put conventional and nuclear modernization in
20 competition with one another inside the Department of
21 Defense. I think both of these are essential because the
22 foundation of our deterrent is nuclear weapons, but they are
23 not the only bricks in the wall. And so, without a strong
24 conventional force, without the ability to project power,
25 without the ability to match up, conventionally, then I

1 think we are in a far different place.

2 I believe that priority-wise, it is very important for
3 us to prioritize nuclear modernization at this point, but I
4 think we can't ignore the conventional forces either. And I
5 think that sometimes we pit ourselves against ourselves
6 here, and I would encourage us not to do that.

7 And by the way, I think this is affordable. I think
8 the United States of America can afford this.

9 Senator Tuberville: Thank you.

10 Dr. Roberts, do you believe that if the U.S. were to
11 majorly disarm our nuclear capabilities that China and
12 Russia would do the same?

13 Dr. Roberts: Not a chance.

14 Senator Tuberville: Thank you. That is what I
15 thought.

16 Dr. Bracken, what challenges does the U.S. face with
17 China and Russia continuing to modernize their nuclear
18 capabilities?

19 Dr. Bracken: Well, I think we face immense challenges
20 if they continue to modernize their nuclear capabilities.
21 Let me just give a couple of examples.

22 It looks increasingly likely that there will be
23 breakthroughs in anti-submarine warfare against our nuclear
24 weapon-carrying submarines. I am referring for robot
25 trailing submarines when they leave port. And 10 years down

1 the road, something like quantum computing, getting into
2 quantum ASW, highly technical. But it puts a threat on the
3 submarines that we haven't seen, like, forever in the past
4 before. So, I think it would be a really bad idea to give
5 up the ICBM leg of the Triad.

6 The big thing with the future is going to be tracking
7 mobile targets. That includes nuclear weapons.

8 You mentioned hypersonic missiles. The reason they are
9 interesting in this scenario is because they could get on
10 the target very quickly before it moves out of range.

11 Senator Tuberville: Thank you.

12 Mr. Miller, do you believe that any of the legs of the
13 nuclear Triad are unnecessary?

14 Mr. Miller: No, sir. I think the Triad has had a
15 mutually reinforcing effect since the 1960s and I think we
16 need to stay with that.

17 Senator Tuberville: Thank you.

18 Nuclear-powered missiles, is that going to in the
19 forefront in the future, anybody?

20 Mr. Miller: Russians have tried it with disastrous
21 effects. It is a terrible idea.

22 Senator Tuberville: Anybody else?

23 Dr. Bracken: Yeah, they are really heavy and it is
24 really inefficient.

25 Senator Tuberville: Thank you, Mr. Chairman.

1 Senator King: Senator Rosen, via Webex.

2 Senator Rosen: Well, thank you, Chairman King and
3 Ranking Member Fischer, for holding this hearing for our
4 witnesses being here today.

5 I want to talk a little bit about cybersecurity. Of
6 course it is so important, and the nuclear command, control,
7 and communications or NC3, the systems of the United States,
8 we are all connected. We are a network of communications
9 through data processing systems, and this potential really
10 leaves us, and I don't have to tell you, open to, vulnerable
11 to cyberattacks.

12 So, to all of the witnesses here, how concerned are you
13 that the strategic rivals of the United States may try to
14 infiltrate and harm the U.S. nuclear infrastructure and how
15 do you think we can, what can we do to make ourselves more
16 resilient against these cyber threats?

17 And I guess we can start with Mr. Miller.

18 Mr. Miller: Senator, let me defer to General Kehler.
19 I think --

20 Senator Rosen: Thank you. Sorry. I can't see
21 everyone on my screen, so I am just trying the first face
22 that popped up.

23 General, please.

24 General Kehler: Well, Senator, this is General Kehler.
25 And I would say, first of all, we have every right to be

1 concerned about cyber intrusions. And you can't pick up any
2 news feed of any kind, whether it is written, or in your
3 handheld, or whatever you get it from these days, you can't
4 find any feed that doesn't talk something about cyberspace
5 every day. And so, I think the world that we live in is a
6 world where we have relied on our networks and those
7 networks have vulnerabilities.

8 We need to make sure that as we both, upgrade the
9 current nuclear command and control system, and there are
10 some upgrades that are required, as we think about what is
11 next, we need to take cybersecurity to the forefront of the
12 requirements. And I believe from other work that I do since
13 I have been retired, that that is the mindset inside the
14 Department of Defense. I know that they have given, after I
15 left STRATCOM, STRATCOM got the responsibility to have cyber
16 protection wrapped into the nuclear command and control
17 communications system, which is now under STRATCOM's
18 purview.

19 So, I think that was a positive move. I do think we
20 have every right to be concerned. I don't think this is one
21 where we could ever slap the table and say we are done. I
22 think this is an ongoing problem and I think that our system
23 has to be able to be effective and resilient not by
24 defeating the entire cyberthreat but acting in spite of the
25 cyberthreat.

1 Senator Rosen: I think you are exactly right. I have
2 some bills going forward to try to increase our cyber
3 workforce, create a cyber reserve force for the military.

4 I appreciate that. I just have a few minutes left, so
5 I am actually going to move quickly over to talk about the
6 Nevada National Security Site, because in 1993, Congress
7 created a stockpile stewardship program and that is a
8 science-based program that ensures the mission-critical
9 readiness and reliability of the nation's nuclear stockpile.

10 Congress tasked the NNSA with ensuring that the nuclear
11 weapons stockpile is safe, secure, and reliable, without the
12 use of underground nuclear testing. So, some critical
13 tests, physics experiments are conducted, of course, in
14 Nevada at our national security site, and this has reduced
15 the need for explosive testing.

16 We want to prevent a resumption of explosive nuclear
17 testing at all, but certainly without our approval, Senator
18 Cortez Masto and I have some legislation for that.

19 But Dr. Roberts, could you speak quickly to the
20 importance of the Nevada National Security Site to the
21 nation and to the stewardship of our nuclear stockpile.

22 Dr. Roberts: Thank you, Senator.

23 I would like to be clear that I am here participating
24 in my private capacity, and not to represent the laboratory
25 or NNSA, but I do have a view on the subject, which is that

1 the test site is essential. The national security site is
2 essential to maintaining our confidence and the credibility
3 and effectiveness of our arsenal, and it provides other
4 benefits to the nation, in terms of preparedness for the
5 nuclear terrorism scenario that worries you, Senator King,
6 that provides some verification, technology work on arms
7 control.

8 But to its core function of maintaining and ability to
9 return to testing at some point in the future, this is an
10 essential component of having a hedge against a changing
11 world. We have been fairly confident on a bipartisan basis
12 that for the period since the end of the Cold War, we could
13 reduce roles, numbers, functions, et cetera. But that has
14 been because of our view of the security environment.

15 But our view of the security environment has changed
16 radically in the decade since I was in the Pentagon and it
17 is quite possible that future leadership will determine that
18 some new testing is required, some new capabilities are
19 required, and for that, we have to maintain some capacity to
20 exercise those skills. So, I am -- back to you.

21 Senator Rosen: Oh, well, thank you for that. I still
22 would argue that subcritical and physicists experiments that
23 we are able to do at the Nevada National Security Site. We
24 have advances in nuclear matter. They do reduce the need
25 and might possibly eliminate the need for explosive testing,

1 and we can still do that while ensuring the safety of our
2 nuclear stockpile.

3 I see my time has expired, Mr. Chairman. I yield back.

4 Senator King: We are going to have a second round
5 between Senator Fischer and I for several questions.

6 I guess the short way to answer this question is,
7 should one person in the United States have the sole
8 authority to unleash what could be the end of civilization?
9 Do we need to think about how our chain of command works?

10 And I think, General Kehler, you said it is fine. We
11 want to keep it the way it is.

12 But I just want to pose that question. That is a
13 question that I get from my constituents is, you mean one
14 person has this sole decision?

15 Mr. Bracken, your thoughts? Should we be thinking
16 about, for example, the decision to launch should be the
17 President, the Speaker of the House, and the Chief Justice
18 of the Supreme Court, two out of three, and I understand
19 time constraints and all those kinds of things, but the
20 alternative is, one person with this enormous
21 responsibility.

22 Dr. Bracken: I think in emergency conditions, it
23 almost has to be one person making that decision.

24 But let me go back to an earlier set of distinctions
25 between declaratory policy, real policy, and operational

1 policy. STRATCOM works on operational policy with guidance
2 from the declaratory policy. The real policy could be quite
3 different and has been, historically, in the Cold War. We
4 see huge differences of what the President said in top-
5 secret instructions to the Pentagon than what they did in
6 practice.

7 In the Cuban Missile Crisis, President Kennedy
8 threatened all-out retaliation against the Soviet Union
9 after earlier in the week, he had signed a set of doctrines
10 which broke up into small packages, the nuclear strike
11 force. So we really need to look at both, things that,
12 really, the President would do.

13 Secretary of Defense Robert McNamara, who I have spoken
14 to a lot about this and his relationship with JFK and LBJ --
15 Senator King: And the Cuban Missile Crisis.

16 Dr. Bracken: -- and the Cuban Missile Crisis, had an
17 oral understanding with the presidents, both of them that,
18 in the event of a massive attack on the United States, that
19 they would do nothing for 2 or 3 days to see what would
20 happen. We had enough forces at that time to retaliate to
21 destroy the Soviet Union entirely.

22 So, there are a lot of interesting cases in here with
23 this framework of what you declare, even though it might be
24 top secret, and what a real President would do. I am of the
25 view that no President would ever authorize launch on

1 warning. I don't care if you can get the head of the Air
2 Force intelligence in here to say otherwise. I just don't
3 believe it.

4 Senator King: Mr. Miller, do you have any thoughts on
5 this question?

6 Mr. Miller: I think the current system works. Having
7 worked at the Pentagon in these areas for 22 years and then
8 4 years in the White House, every time I talked with the
9 Secretary of Defense or the President of the United States
10 about these issues, it was always with, they would treat it
11 with the most extreme gravity and understood the risks.

12 I don't understand how you come up with a triumvirate
13 or some panel to vote. And I think that an adversary would
14 exploit every opportunity to try to disrupt that
15 conferencing and, thereby, to prevent a U.S. second strike.

16 And I personally, I mean, one can talk about historical
17 recollections and reminisces, if an enemy hit us with the
18 first strike and said, if you come back at us because you
19 are not shooting, if you come back at us, we are going to
20 destroy you utterly in 3 days, that is a very difficult
21 scenario for a President.

22 So, it is not the best system in the world, but I can't
23 think of what the best system is, Senator King.

24 Senator King: General, do you have any thoughts on
25 nuclear command and control?

1 General Kehler: Yes, sir. First of all, I think that
2 there are a couple of things that are really important when
3 we are talking about nuclear weapons. One is clarity of
4 command. We have to understand clearly who is in charge,
5 and the United States has decided to put the authority in
6 the hands of the nation's senior-most elected official.

7 I think this absolutely has to be civilian control. No
8 question in my mind. And it seems to me, as though that is
9 the place where this belongs, for clarity of command.

10 And then second, we have to be able to meet the time
11 demands of a wide variety of scenarios. It isn't just the
12 time urgent, both out of the blue, which I agree is the
13 least likely of the things that we would face, but it is an
14 entire range of things.

15 And so, I think there are two issues here for you to
16 consider. One is the authority of the commander in chief,
17 any commander in chief to order the use of military force,
18 in this case, nuclear force. That question is a question
19 been the Legislative and the Executive branches. How much
20 authority will they command or --

21 Senator King: Remember, the Constitution bestows the
22 power to declare war on Congress.

23 General Kehler: Absolutely. And so, the question
24 about, you know, when does a President have to come to
25 Congress, that is your turf. That is something that you and

1 the Executive Branch have to go and work out.

2 The second question, though, is about the decision
3 process itself and what are the safeguards in the decision
4 process. And so, can you have assurance that there are
5 sufficient safeguards in there, that there can't be some
6 mistake or accident or something from the sole authority
7 here or even some nefarious activity, all of which I think
8 is extremely unlikely. So, having said that, are there
9 safeguards in the process that prevent that?

10 My belief is, yes, there are. Some are congressional
11 safeguards. The Twenty-Fifth Amendment and other things --

12 Senator King: Another is the legal order safeguard.

13 General Kehler: Another is the legal order safeguard
14 at the very end. And so, adding people to the decision at
15 the top as go, no-go authorities doesn't necessarily give
16 you the kind of safeguard you are looking for.

17 If it is the Vice President, what if it is a like-
18 minded Vice President? If it is a Secretary of Defense,
19 what if it is a presidential appointee unconfirmed by the
20 Senate? If it is somebody else, if it is the Speaker of the
21 House, you know, somewhere along the line here you are
22 adding complexity --

23 Senator King: Sure.

24 General Kehler: -- you are introducing confusion. You
25 are suggesting that there would be delay or, perhaps, a

1 paralysis. And, to me, as a commander, I would be very
2 concerned about those kinds of problems seeping into the
3 nuclear command and control business.

4 Senator King: Mr. Miller?

5 I think your microphone needs, or you need to get
6 closer.

7 Dr. Roberts, any thought on the subject of command and
8 control?

9 Dr. Roberts: No. I could add comments, but my
10 thinking dovetails with what you have heard.

11 Senator King: Thank you.

12 Senator Fischer?

13 Senator Fischer: Thank you, Senator King.

14 You know, I am sitting here reflecting on the tone of
15 this hearing. I have served on this subcommittee since I
16 have been in the United States Senate. I have been ranking
17 member and chairman and ranking member and I think this is
18 one hearing that not only is so very informative, but also,
19 really emphasizes the changes that we have seen just in the
20 past several years on the threats that we face when we start
21 talking about our nuclear arsenal and the needs for
22 modernization and looking at the aging of our platforms and
23 what we have to do there. So, I thank you, Senator King,
24 for your seriousness in this committee, as well as our other
25 committee members.

1 General Kehler, the ICBM force is often described as
2 being on a hair-trigger alert. Is that an accurate
3 characterization and what concerns would you have about
4 attempting to reduce the alert status of our ICBMs?

5 General Kehler: Senator, there is no U.S. nuclear
6 weapon on a hair trigger. ICBMs are not on a hair trigger.
7 Submarines are not on a hair trigger. If bombers were on
8 alert, they are not on a hair trigger.

9 At the end of the conversation, this is a human control
10 process. Nothing happens automatically. Human beings are
11 involved at every step of the way and we put great trust and
12 confidence in training in those human beings.

13 There are layers of safeguards that surround all of
14 these weapons, and as safeguards go, the ICBMs probably have
15 the most of the safeguards that are out there in the system.
16 That is not to diminish the safeguards anywhere else, but
17 the ICBMs were designed to be as full-proof as human beings
18 could make something, so I have the ultimate confidence in
19 all of that.

20 The issue about hair triggers stems from this use-or-
21 lose concern that existed in the Cold War when people said
22 the Russians could successfully attack the ICBM force and
23 destroy it on the ground, therefore, the United States was
24 faced with a use-or-lose kind of decision. And that was
25 taken seriously inside the Department of Defense and,

1 certainly, for much of the Cold War, that scenario dominated
2 our thinking and our planning.

3 That is no longer the case. So, one thing is we have
4 backed away from this sense of urgency that existed in the
5 height of the Cold War when we thought we could go to war
6 with the Russians at any moment. I think the world
7 situation has dictated something different and I think part
8 of the second nuclear age is a different world scenario that
9 surrounds all these.

10 Second, we did a lot to address the use-or-lose
11 concern, one of which was, download the ICBMs to single
12 warheads, which makes them a less attractive target. We
13 have also improved our warning systems to give ourselves
14 more warning time up front with higher confidence. We have
15 tried to keep our command and control up to date, et cetera,
16 et cetera, et cetera. Our plans are different today. We
17 have put most of our weapons in survivable platforms; that
18 is, those submarines.

19 So, I think this notion of use or lose and any pressure
20 that might have been felt about use or lose that concerned
21 people about hair triggers and the ICBMs is no longer the
22 dominating factor here.

23 Senator Fischer: Chairman Reed brought up about
24 treaties and I think most of us support the idea of
25 treaties, but I would ask if any of you would support any

1 kind of unilateral reductions of our forces in any kind of
2 treaty setting as a condition, at any time at all.

3 Mr. Miller?

4 Mr. Miller: Senator, I would not, because --

5 Senator King: Your microphone is not on.

6 Mr. Miller: Sorry. I am technically challenged.

7 In the late 1980s, President George H.W. Bush reduced
8 by about 90 percent, our shorter-range forces, our theater
9 nuclear forces, air, land, and sea, and extracted a pledge
10 from first, Gorbachev and then Yeltsin, that they would
11 follow suit. They did not follow suit.

12 I don't see any reason to believe, as I said before,
13 the Russians are extremely transactional. I think that that
14 sort of a unilateral action would just indicate that we were
15 backing away from deterrence.

16 Senator Fischer: Okay. General Kehler, any views?

17 General Kehler: I agree.

18 Senator Fischer: Okay. Dr. Bracken?

19 Dr. Bracken: I would say, no, I don't envision any and
20 I would flip the question. The best way to lower the
21 probability of nuclear war is to modernize the U.S. force.

22 Senator Fischer: Thank you. Dr. Roberts?

23 Dr. Roberts, include in your answer, I thought your
24 comment about China would not participate in a treaty, that
25 is the way I understood it, can you explain why you think

1 that way. I agree with you. I want to see if our reasons
2 are the same.

3 Dr. Roberts: Well, the Chinese see arms control as a
4 trick. It is a trick to draw them into a competitive 1980s,
5 U.S.-Soviet arms race where we come with the expectation
6 that they will spend their way to oblivion.

7 And they have insisted they don't have a nuclear
8 relationship with the United States. We talk about the
9 U.S.-China nuclear relationship, they reject that. They
10 say, we have our bombs in the basement. If we have a war,
11 then we will have a nuclear relationship.

12 But they are not willing to embrace the idea that there
13 is a relationship with instabilities in it that needs to be
14 managed. They see arms control as a way to ensnare them
15 into a competitive relationship that they reject. And they
16 see arms control as obliging them to engage in forms of
17 transparency that they find not just uncomfortable, but
18 dangerous. Their tradition of thinking about transparency
19 is that the obligation for transparency falls unevenly onto
20 two partners. It falls unevenly onto the stronger partner,
21 because it is the stronger one who can harm the weaker one
22 with some hidden intent. So, they reject the transparency.

23 So, for example, an idea that we discussed in the Obama
24 era was to, we considered the possibility of inviting China
25 to serve as an observer to New START implementation

1 activities, possibly one of many observers. And this was
2 coming too close to setting an expectation that they might
3 be obliged to accept some transparency at a future time.
4 So, no restraint, no formal negotiated, verifiable, arms
5 control measures with China.

6 Senator Fischer: Okay. Thank you.

7 Thank you, gentlemen, and thank you, Mr. Chairman.

8 Senator King: Thank you, Senator Fischer.

9 I want to thank all of you. The reason I wanted to
10 have this hearing is that we are now a full generation
11 beyond the end of the Cold War and those of us who lived
12 through that period remember nuclear deterrence. We
13 remember the tension and the relationship and the importance
14 of having a nuclear deterrent.

15 And I think we need to remind ourselves today that it
16 is still relevant. It is still important. And I think we
17 need to realize that there are many people who really are
18 scratching their heads and saying, why do we have these
19 bombs, why are we doing this? So, I think that is what is
20 so important.

21 Mr. Bracken, I wrote, the best way to avoid a nuclear
22 war is to modernize our nuclear force. I think that is a
23 very profound statement, and, to me, summarizes the
24 testimony that we have heard today.

25 I do have a bit of homework for the four of you and

1 those who may be watching, and that is, I would like your
2 thoughts on how do we deal with the threat of a terrorist
3 coming into possession of a nuclear weapon, because
4 deterrence in that situation is not going to be effective.
5 Is it better intelligence? Is the nonproliferation? Is it
6 working with some of our nuclear rivals?

7 No one has an interest in terrorists getting control of
8 a weapon like this. So, I hope you will supply the
9 committee with some further thoughts on that subject.

10 Again, I thank you all for your testimony. Thank you
11 for joining us today, and I appreciate your continued
12 interest and work on these critically important subjects.

13 I would like to thank Senator Fischer and I look
14 forward to continuing to work with her on this most
15 important strategic policy of the United States of America.

16 With that, the hearing is closed.

17 [Whereupon, at 5:50 p.m., the hearing was adjourned.]

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