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Before the
Subcommittee on Cybersecurity

COMMITTEE ON
ARMED SERVICES

UNITED STATES SENATE

HEARING TO RECEIVE TESTIMONY ON THE
DEPARTMENT OF DEFENSE'S ROLE IN PROTECTING
DEMOCRATIC ELECTIONS

Tuesday, February 13, 2018

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5 Tuesday, February 13, 2018
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7 U.S. Senate
8 Subcommittee on Cybersecurity
9 Committee on Armed Services
10 Washington, D.C.
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12 The subcommittee met, pursuant to notice, at 2:34 p.m.
13 in Room SR-222, Russell Senate Office Building, Hon. Mike
14 Rounds, chairman of the subcommittee, presiding.

15 Committee Members Present: Senators Rounds
16 [presiding], Fischer, Sasse, Nelson, McCaskill, Gillibrand,
17 and Blumenthal.
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1 OPENING STATEMENT OF HON. MIKE ROUNDS, U.S. SENATOR
2 FROM SOUTH DAKOTA

3 Senator Rounds: Good afternoon.

4 The Cybersecurity Subcommittee meets this afternoon to
5 receive testimony on the Department of Defense's role in
6 protecting the U.S. election process.

7 The witnesses are Mr. Bob Butler, Cofounder and
8 Managing Director of Cyber Strategies, LLC; Adjunct Senior
9 Fellow at the Center for a New American Security; Senior
10 Vice President of Critical Infrastructure Protection
11 Operations for AECOM; Ms. Heather Conley, the Senior Vice
12 President for Europe, Eurasia, and the Arctic and Director
13 of the Europe Program at the Center for Strategic and
14 International Studies; Dr. Richard Harknett, head of
15 political science at the University of Cincinnati and a
16 former scholar in residence at U.S. Cyber Command and the
17 National Security Agency; and Dr. Michael Sulmeyer, the
18 Director of the Cyber Security Project at the Harvard
19 Kennedy School.

20 At the conclusion of Ranking Member Nelson's comments,
21 we will ask our witnesses to make their opening remarks.
22 After that, we will have a round of questions and answers.

23 There is no dispute about what Russia did during the
24 2016 election cycle. There is clear evidence that Russia
25 attempted to undermine our democratic process through the

1 hacking of independent political entities, manipulation of
2 social media, and use of propaganda venues such as Russia
3 Today. Evidence to date indicates that no polls or State
4 election systems were manipulated to change the outcome of
5 the vote. However, there was evidence of Russian probing of
6 certain election systems in 21 States.

7 The Department of Defense has a critical role to play
8 in challenging and influencing the mindset of our cyber
9 adversaries and defending the homeland from attacks, attacks
10 that could include cyber attacks by other nations against
11 our election infrastructure. We look forward to the
12 Department approaching these issues with a heightened sense
13 of urgency.

14 The threat is not going away. Just a couple of weeks
15 ago, the Director of the Central Intelligence Agency warned
16 that Russia will seek to influence the upcoming midterm
17 elections. The White House National Security Advisor stated
18 that the Mexican presidential campaign as well. This is all
19 in addition to Russian attempts to influence the elections
20 in France and Germany last year.

21 Each of us on this panel has been quite vocal about the
22 need for a strategy that seizes the strategic high ground in
23 cyberspace. Whether you call it deterrence or something
24 else, we need a strategy that moves out of the trenches and
25 imposes costs on our adversaries. The lack of consequences

1 for the countless attacks over the past decade has
2 emboldened our adversaries and left us vulnerable to
3 emboldened behavior. The attacks we experienced during the
4 2016 election are just the latest rung on that escalation
5 ladder. As long as our adversaries feel that they can act
6 with impunity, they will press further.

7 Our witnesses offer unique perspectives on the
8 challenges we face. We look to them to help us understand
9 why our posture restraint has not worked, if we can reverse
10 the damage already done, and what it will take to develop
11 and implement a strategy that limits our exposure and
12 imposes costs on malicious behavior.

13 We invited Dr. Richard Harknett to explain his theory
14 of cyber persistence, specifically on how our failure to
15 tailor our strategies to the uniqueness of the cyber domain
16 limits our ability to confront challenges we face. Our
17 adversaries actively exploit us because they see great
18 benefit and little consequence in doing so. I agree with
19 Dr. Harknett that the Cold War models of deterrence will not
20 work and look forward to hearing what he believes it will
21 take to influence the mindset of our adversaries.

22 In addition to his writings on cyber deterrence and
23 election attacks, Dr. Michael Sulmeyer has focused a great
24 deal of his research on the organizational challenges we
25 face as a government. We understand that Dr. Sulmeyer is

1 working on a paper addressing some of the challenges we
2 examined during our full committee hearings in October on
3 the whole-of-government approach to cybersecurity. We look
4 forward to hearing more from Dr. Sulmeyer on the gaps and
5 the seams he sees in our organizational model and what
6 lessons we can learn from analyzing like the British.

7 Ms. Heather Conley provides an expertise in Russian
8 politics and foreign policy. Russia has yet to face serious
9 consequences in the cyber or other domains for its 2016
10 elections' interference. We look forward to Ms. Conley's
11 testimony on how the United States can tailor and implement
12 these penalties and how the Department can best deter or
13 dissuade further Russian election meddling.

14 We also look forward to the testimony of Mr. Bob Butler
15 who brings extensive cyber experience in both the Department
16 of Defense and the private sector. Mr. Butler has been
17 involved in numerous studies on the cyber deterrence,
18 including the recent Defense Science Board Task Force on
19 Cyber Deterrence.

20 Let me close by thanking our witnesses for their
21 willingness to appear today before our subcommittee.

22 Senator Nelson?

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1 STATEMENT OF HON. BILL NELSON, U.S. SENATOR FROM
2 FLORIDA

3 Senator Nelson: Thank you, Mr. Chairman.

4 First of all, I want to make sure that since this is a
5 hearing on elections, that everybody understands that this
6 Senator feels that this is about the foundation of our
7 democracy and that we as a government ought to be doing more
8 to defend ourselves.

9 And the second thing I want to make sure everybody
10 understands is this is not a partisan issue. This can
11 happen to either party or the non-party candidates as well.
12 And it ought to be all hands on deck.

13 The chairman and I in public and in closed meetings
14 because of the clearance -- we have been quite disturbed
15 about wondering if we are doing as much as we should as a
16 government to protect ourselves. So in a recent closed
17 hearing of this subcommittee, the Department of Defense
18 demonstrated that it is not taking appropriate steps to
19 defend against and deter this threat to our democracy.

20 So, Mr. Chairman, I join you in welcoming these
21 witnesses and hope that some practical suggestions are going
22 to come out. Now, I want to mention just a few things.

23 First, the Department has cyber forces designed and
24 trained to thwart attacks on our country through cyberspace,
25 and that is why we created the Cyber Command's National

1 Mission Teams. A member of this subcommittee, Senator
2 Blumenthal, Senator Shaheen -- we all wrote to the Secretary
3 of Defense last week that they, the Department, ought to be
4 assigned to identify Russian operators responsible for the
5 hacking, stealing information, planting misinformation, and
6 spreading it through all the botnets and fake accounts on
7 social media. They ought to do that. The Cyber Command
8 knows who that is.

9 And then we ought to use our cyber forces to disrupt
10 this activity. We are not.

11 We should also be informing the social media companies
12 of Russia's fake accounts and other activities that violate
13 those companies' terms of service so that they can be shut
14 down.

15 Second I would ask us to look at that as the
16 Department's own Defense Science Board Task Force on Cyber
17 Deterrence concluded last year -- we ought to show Mr. Putin
18 that two can play in this game. We ought to consider
19 information operations of our own to deter Mr. Putin like
20 exposing his wealth and that of his oligarchs.

21 Third, I would suggest the Department should ensure
22 that its active and reserve component cyber units are
23 prepared to assist the Department of Homeland Security and
24 the governors to defend our election infrastructure, not
25 just after the attack but proactively before and during the

1 Russian attacks.

2 Fourth, I would suggest that the Department must
3 integrate capabilities and planning to cyber warfare and
4 information warfare to conduct information warfare through
5 cyberspace as last year's defense bill mandated. Our
6 adversaries recognize the importance of this kind of
7 integration, but today cyber warfare and information warfare
8 are separated in the Department of Defense and involve
9 multiple organizations.

10 And fifth, I would recommend, as one of our witnesses I
11 think will testify today, the Department must help develop
12 an effective whole-of-government response to Russia's
13 strategic influence operation through things like a joint
14 interagency task force and a fusion center. Our colleagues
15 on the Foreign Relations Committee have proposed something
16 similar. The threat is not going away. It is likely to
17 intensify. And as our intelligence community has been
18 warning and as DNI Coats has just testified to the Senate
19 Intelligence Committee, that threat is not going away.

20 So the 2018 elections are upon us. We cannot sit idly
21 by and watch this happen again.

22 Thank you, Mr. Chairman.

23 Senator Rounds: Thank you.

24 And welcome to all of our panelists here today, our
25 witnesses. We would ask that, first of all, you limit your

1 opening remarks to 5 minutes, but your entire statements
2 will be made a part of the record. We would like to begin
3 with Mr. Butler.

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1 STATEMENT OF ROBERT J. BUTLER, COFOUNDER AND MANAGING
2 DIRECTOR, CYBER STRATEGIES, LLC;

3 Mr. Butler: Thank you, Mr. Chairman, Ranking Member
4 Nelson, and distinguished members of the Cyber Subcommittee.
5 It is a privilege to be here. Thank you for the invitation.

6 My views really represent my views and not that of any
7 particular organization. And I will just quickly hit the
8 highlights of my written statement. They track very closely
9 with a lot of the opening comments. My comments are really
10 focused around my assessment of the threat in the electoral
11 processes after interviewing a few different States;
12 secondly, recommendations for the Federal Government
13 partnered with a whole-of-America campaign; and then
14 thirdly, what this subcommittee can do going forward.

15 I have been watching the Russian influence operations
16 threat for some time in uniform and out of uniform. And our
17 ability to counter Russian influence operations is not only
18 a function of what we know about the threat but our
19 willingness and our ability address that threat through
20 hardening resilience and other countermeasures.

21 As I have looked at the election infrastructure in a
22 few different States, we have learned from 2016, and our
23 known vulnerabilities have been remediated. Whether you
24 look at the voting registration systems in the election
25 infrastructure proper, we are making progress there.

1 However, the States do not know how to address the
2 disinformation campaign. That is a struggle and the threat
3 still remains very, very high.

4 From my perspective looking at this particular threat,
5 what we are talking about today is one line of operation
6 within what I think has to be addressed through a National
7 Security Council-led task force, a whole-of-America campaign
8 not too much dissimilar from the NCTC, but with a strong,
9 empowered private sector element. Again, I go back to the
10 idea of a whole-of-America process.

11 Two key components inside of this. One is the idea of
12 having an element that is focused on strengthening States'
13 election infrastructure and hardening American citizens,
14 deterrence by denial some would say. A second component
15 focused on cost imposition from botnet disruptions to other
16 kinds of sanctioning activities, importantly reinforce
17 multilaterally. I am a big proponent of an international
18 cyber stability board, a coalition of the willing, working
19 to ensure the most effective way of doing cost imposition.
20 Those two components then supported by an integrated fusion
21 center that provides situational awareness, combines the
22 best of intelligence both in the commercial and from the
23 national security community with law enforcement and active
24 defense actions, focused on a campaign that is centralized
25 in its planning but decentralized in its execution.

1 From my perspective, it really requires both cultural
2 and legislative enablers. Culturally the President must
3 lead, must rally the nation. There are opportunities
4 already this week that can be used to help with that. The
5 infrastructure proposal is a great example. I do not see
6 anything about resilience in the infrastructure proposal.
7 We should have a way of incorporating, especially as we are
8 building new infrastructure, methods and strategies and
9 incentives for strengthening the infrastructure here in this
10 country.

11 Additionally, we need to leverage the best of U.S.
12 competencies across America. Defense is excellent at
13 campaign planning and exercise. U.S. intelligence agencies,
14 combined with web-scale companies, do a great job in
15 intelligence generation and fusion. Web-scale companies are
16 very good and growing in their ability to rapidly identify
17 disinformation campaigns and response, and we will need some
18 help from the legislative side.

19 Specifically for DOD, five recommendations that track
20 very closely with what Senator Nelson was talking about. I
21 think to jump start this NSC-sponsored task force, we should
22 coordinate with the Secretary of Defense to immediately
23 stand up a JIATF, a joint interagency task force. Inside of
24 that, again empowered private sector players. We typically
25 do not think about that, but this really is something where

1 we need to work together in a public-private partnership.
2 We need to make arrangements with State and local officials
3 through DHS and the National Guard Bureau.

4 The second recommendation really is to the NGB and
5 working with the National Guard Bureau to really not only
6 inventory what we have from a cyber and IO perspective. We
7 have cyber units. We information operations units. But to
8 begin to scale them to help the States and to help us as we
9 think about incident response in general. I think they
10 could be aligned with FEMA regions. I think they could be
11 aligned in a lot of different ways, but we need to first get
12 organized.

13 The third is to actually have a session where we
14 discuss courses of action. It would have to be a closed
15 session. But I think that is where the request for
16 authorities, new authorities, requests for new resources
17 come out. It really gets at the point of not only looking
18 at offensive actions but defensively what we are in store
19 for as we begin to move offensively and what we are going to
20 do from a continuity of government, continuity of business
21 perspective.

22 The last two relate to Senator Nelson's comments with
23 regard to the DSB task force. I think we should continue to
24 push with the NDAA and operationalizing the rest of the
25 Cyber Deterrence Task Force recommendations. And I would

1 advocate that this committee should have its own campaign of
2 exercises to help it understand where the adversary is going
3 and to be able to advance ideas with regard to looking at
4 threat and countermeasures.

5 I stand ready to answer any questions that you have.

6 [The prepared statement of Mr. Butler follows:]

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1 Senator Rounds: Thank you, Mr. Butler.

2 Ms. Conley?

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1 STATEMENT OF HEATHER A. CONLEY, DIRECTOR, EUROPE
2 PROGRAM, CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES

3 Ms. Conley: Thank you so much, Chairman Rounds,
4 Ranking Member Senator Nelson, and esteemed colleagues.
5 Thank you for this very timely opportunity to speak to you
6 this afternoon and what a timely moment as U.S. intelligence
7 agencies have now assessed that Russia will continue to make
8 bold and more disruptive cyber operations focused on the
9 midterm elections. CIA Director Mike Pompeo also stated
10 publicly that he fully expects that Russia will attempt to
11 disrupt the U.S. midterm elections. So we know they are
12 doing it and will do it, but we as a nation are not prepared
13 to effectively combat what I believe is an intensifying
14 disinformation operation and influence operation.

15 I am a bit of a contrarian on this panel. I am not a
16 cybersecurity expert. But what I am most concerned about is
17 that we have 9 months, and the American people are not
18 educated as to what is going to happen to them. And that is
19 where I think our focus must lie. I am less concerned about
20 the mindset of President Putin. I know his mindset. I am
21 more concerned about the mindset of the American people as
22 we head towards this election.

23 You asked us what role DOD could play to protect the
24 U.S. elections. And I think simply DOD, working with
25 Congress, has got to demand a whole-of-government strategy

1 to fight against this enduring disinformation and influence
2 operation. We do not have a national strategy.
3 Unfortunately, modernizing our nuclear forces will not stop
4 a Russian influence operation. That is where we are missing
5 a grave threat that exists in the American people's palm of
6 their hand and on their computer screens. It is vital that
7 we start talking publicly about this threat and educating
8 the American people on a bipartisan basis.

9 Tragically the Russian campaign has already deeply
10 polarized our country, which only serves the Kremlin's
11 interests. As one of the most trusted institutions in the
12 United States, the Department of Defense must leverage that
13 trust with the American people to mitigate Russian
14 influence. Simply put, the Department of Defense has to
15 model the bipartisan and fact-based action, behavior, and
16 awareness that will help reduce societal division. This is
17 about leadership. It is about protecting the United States,
18 and as far as I can see, that is in the Department of
19 Defense's job description.

20 So a good place to begin is using DOD's extensive
21 employee and military networks to provide timely policy
22 guidance and statements about the threat the Russian
23 influence operation poses to election security. Secretary
24 Mattis and General Dunford should provide extensive public
25 outreach to the defense community about the threat and how

1 to counter it. Perhaps they should think about forming
2 public service announcements. European governments have
3 been very effective in warning their publics about the
4 danger of Russian disinformation. France and Germany were
5 very strong on that, but you have to put the message out and
6 we have not.

7 I offered one suggestion in my written testimony to
8 look at how we could leverage the National Guard Bureau,
9 working closely with State and local leaders in cooperation
10 with the Department of Homeland Security, to enhance
11 cybersecurity awareness and be able to detect patterns of
12 influence, for example, if packed emails surface online in
13 conjunction with the false rumors about potential electoral
14 candidates. We need to start talking about this.

15 Another instrument is the State partnership program.
16 The National Guard has partnered with the Lithuanian
17 military, the Estonian military. They can bring back to
18 their States information about how Russian influence works.

19 We are speaking today about protecting the homeland
20 from continuous disinformation attacks, which alter how the
21 average American thinks about their system of governance and
22 their government. And what the American people may end up
23 thinking is that everyone is lying, everything is fake, and
24 there is nothing that can be trusted. And then even the
25 most trusted of American institutions, the Defense

1 Department, the Justice Department, the FBI, the Department
2 of Homeland Security, the Office of the President, will mean
3 very little to the American people. And this is exactly how
4 you break the internal coherence of the enemy's system
5 according to Russian military doctrine. And unfortunately
6 today we are doing most of this to ourselves without
7 assistance from the Kremlin.

8 This is a matter of urgency. We have 9 months. We
9 need to educate the American people in addition to
10 enhancing, of course, our cybersecurity protections. But as
11 the French disinformation attacks showed, what many of the
12 organizations that looked like that disinformation was
13 coming from -- it was coming from American organizations.
14 This is designed to be hidden. It adapts. We have to
15 educate the American people about what they are going to
16 confront on the November elections.

17 Thank you.

18 [The prepared statement of Ms. Conley follows:]

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1 Senator Rounds: Thank you, Ms. Conley.

2 Dr. Harknett?

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1 STATEMENT OF DR. RICHARD J. HARKNETT, PROFESSOR OF
2 POLITICAL SCIENCE AND HEAD OF POLITICAL SCIENCE DEPARTMENT,
3 UNIVERSITY OF CINCINNATI

4 Dr. Harknett: Chairman Rounds, Ranking Member Nelson,
5 distinguished members, thank you for this opportunity to
6 speak to you about this critical issue today.

7 We have a big picture problem. Throughout
8 international political history, states have at times
9 misaligned their security approaches to the strategic
10 realities in which they tried to secure themselves.

11 In 1914, every general staff in Europe thought that
12 security rested on the offense, and they found out
13 devastatingly in World War I that they were tragically
14 wrong.

15 France in the 1930's said, okay, we learned from the
16 last war. It is a defense-dominant environment. We are
17 going to rest our security on the most technologically
18 advanced defensive works in history. But again, the
19 fundamentals had changed and the Germans simply went around
20 the Maginot Line.

21 Senators, with all due respect, I do not want to be
22 France in the 1930s, but I think we are coming dangerously
23 close to that myopia and the misalignment of strategy that
24 follows from it. Our adversaries are working through a new
25 seam in international politics. Cyberspace is that seam.

1 Its unique characteristics have created a strategic
2 environment in which our national sources of power can be
3 exposed without having to violate traditional territorial
4 integrity through war.

5 What we have been witnessing are not hacks. They are
6 not thefts. It is not even simple espionage. What we must
7 accept is the fact that we are facing comprehensive
8 strategic campaigns that undermine our national sources of
9 power, be they economic, social, political, or military.
10 And so, therefore, I agree we must develop a counter
11 strategic campaign to protect those sources that has as its
12 overall objective a more secure, stable, interoperable, and
13 global cyberspace.

14 With regard to the integrity of our elections, we have
15 effectively left civilians, whose main focus is not
16 security, on the front lines. That is not a recipe for
17 success.

18 Specific to the Department of Defense's role in
19 producing greater security in, through, and from cyberspace,
20 we must adopt a seamless strategy of what I call cyber
21 persistence, in which our objective is to seize and maintain
22 the initiative. We must defend forward as close to
23 adversary capacity and planning as possible so that we can
24 watch and inform ourselves, disrupt and disable if
25 necessary.

1 Our immediate objective must be to, first, erode the
2 confidence adversaries now have in their ability to achieve
3 and enable objectives. They are very confident.

4 Second, we have to erode their confidence in their own
5 capabilities.

6 And third, we must erode those capabilities themselves.

7 We are well past the post on this. We need a
8 comprehensive, seamless, integrated strategy that pulls to
9 get a greater resiliency, forward defense, and when
10 necessary, countering and testing cyber activity to reverse
11 current behavior. We are not at step one. We are well past
12 that. We actually have to reverse behavior.

13 Our security will rest on our ability to simultaneously
14 anticipate how adversaries will exploit our vulnerabilities
15 and how we can exploit theirs.

16 Cyberspace is an interconnected domain of constant
17 contact that creates a strategic imperative for us to
18 persist. This is a wrestling match in which we have to
19 grapple with who actually has the initiative, being one step
20 ahead in both knowledge and in action. If we do not adjust
21 to this reality, our national sources of power will remain
22 exposed and more of those who wish to contest our power will
23 pour into this seam.

24 I, therefore, argue that we must make three critical
25 adjustments.

1 The first is we have to adjust our overall strategic
2 perspective. War and territorial aggression, which can
3 effectively be deterred, are not the only pathways for
4 undermining our national sources of power. In fact, because
5 we have this effective strategic deterrent, we should expect
6 our adversaries to move into this new seam of strategic
7 behavior below the threshold of war.

8 Second, we must move our cyber capabilities out of
9 their garrisons and adopt a security strategy that matches
10 the operational environment of cyberspace. We must meet the
11 challenge of an interconnected domain with a distinct
12 strategy that continuously seeks tactical, operational, and
13 strategic initiative.

14 Third, we must make the fundamental alterations to
15 capabilities development, operational tempo, decision-making
16 processes, and most importantly, as Bob referred to, overall
17 authorities that will enable our forces to be successful.
18 We cannot succeed using authorities that assume
19 territoriality and segmentation in an environment of
20 interconnectedness, constant contact, and initiative
21 persistence. We cannot secure an environment of constant
22 action through inaction. Strategic effect in cyberspace
23 comes from the use of capabilities and having the initiative
24 over one's adversaries. It is time for us to seize that
25 initiative.

1 I look forward to explaining in more detail how we can
2 pursue security through persistence during our Q and A.

3 Thank you, Mr. Chairman.

4 [The prepared statement of Dr. Harknett follows:]

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1 Senator Rounds: Thank you, Dr. Harknett.

2 Dr. Sulmeyer?

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1 STATEMENT OF DR. MICHAEL L. SULMEYER, DIRECTOR, CYBER
2 SECURITY PROJECT, BELFER CENTER FOR SCIENCE AND
3 INTERNATIONAL AFFAIRS, HARVARD UNIVERSITY

4 Dr. Sulmeyer: Thank you, Chairman Rounds, Ranking
5 Member Nelson, and distinguished members of the
6 subcommittee. It is an honor to be with you today.

7 Before I get to the military's role, however, I would
8 like to note that I am part of a team at the Kennedy
9 School's Belfer Center that released a report a couple hours
10 ago. It is a playbook for State and local election
11 administrators, and it has got steps they can take to
12 improve the cybersecurity of systems that they administer.
13 It is based on field research by a wonderful research team.
14 Many, many students contributed. I am very lucky to have
15 one of the wonderful students here with us today. Corina
16 Faist has flown down to join us.

17 So regardless of the role of the Department of Defense,
18 these defensive improvements are essential. And I want to
19 make sure I hit that right up front. Those recommendations
20 that we put out today complement our last playbook for
21 political campaigns to also improve their cybersecurity. It
22 is essential that we make our elections harder to hack and
23 that we improve resiliency in case critical systems are
24 compromised. But we should also consider how best to
25 counter threats abroad before they hit us at home.

1 So let me transition to how I see some potential roles
2 for the military outside of the United States to protect our
3 elections. There are two necessary conditions of posture
4 that I see as critical: reconnaissance posture and force
5 posture.

6 First, reconnaissance posture. Our cyber mission
7 forces should constantly conduct reconnaissance missions
8 abroad to discover election-related threats to the United
9 States and provide indicators and warnings to our forces and
10 decision-makers. There will never be sufficient resources
11 to address all threats equally, so prioritizing threats to
12 our democratic processes is critical. Otherwise, we cannot
13 hope to disrupt these threats.

14 On force posture, our forces must be sufficiently ready
15 to strike, strike against targets abroad that threaten our
16 elections. Readiness is a critical issue for our armed
17 forces today, and I would encourage Senators on this
18 subcommittee to ensure they are asking tough questions about
19 the readiness of our cyber forces just as they would about
20 any other part of our military.

21 And if the military's reconnaissance and forces are
22 postured to focus on threats to our elections from abroad,
23 there are four objectives that I think our forces should be
24 prepared to pursue. It should go without saying that
25 undertaking these actions should be consistent with

1 international law and other relevant U.S. commitments.

2 Those objectives are: first, preventing attacks from
3 materializing; second, preempting imminent attacks; third,
4 halting attacks in progress; and fourth, retaliating, if
5 necessary, after an attack.

6 On the fourth, let me just note I would emphasize that
7 this retaliation needs to be timely. It has got to be
8 timely since the more time that elapses after an adversary's
9 initial attack, the harder it will be to message and
10 communicate that our action is a direct response.

11 Across those objectives, proper training, thorough
12 rehearsals, and coordination with other parts of our
13 government are essential. Bringing military capabilities to
14 bear inside or outside of cyberspace is always a serious
15 matter, so it is critical to ensure that rules of engagement
16 and questions about authorities are settled well in advance
17 of any order to strike. Here, I would note that some of our
18 closest allies like the United Kingdom and Israel have
19 undertaken some national-level organizational reforms to
20 streamline responsibilities for cyber issues. And we may at
21 some point want to consider something similar here.

22 One of the best cyber-related investments the nation
23 has made is in the national mission force, an elite group of
24 network operators at Cyber Command. They defend the nation
25 from an attack of significant consequence in cyberspace. I

1 think it is very much worth considering what role the NMF
2 can play to accomplish the objectives I described just now.

3 I might note for Senators that I have not discussed
4 deterrence much so far. I very much support calls to deter
5 our adversaries from meddling in elections. Do not get me
6 wrong. However, I would not want to bet the cybersecurity
7 of U.S. elections on a policy of deterrence if I did not
8 have to. Sometimes, like the prospect of defending against
9 thousands of nuclear-tipped missiles, deterrence is the
10 least bad option. That is not the case in cybersecurity.
11 We have other options, like the ones I described just now,
12 and we should employ them alongside strong policies of
13 deterrence.

14 Finally, I would just note that information derived
15 abroad from reconnaissance should be shared with relevant
16 parties at the State and local level. I want to commend the
17 Department of Homeland Security for working hard to promote
18 information sharing over the last few years.

19 And I would also like to encourage more thinking,
20 especially among my colleagues in academia, to help Congress
21 protect itself since Congress is so critical as a part of
22 our democratic process, not just work accounts but also
23 campaign accounts, personal accounts. These cannot be left
24 vulnerable.

25 That concludes my prepared testimony. I look forward

1 to taking your questions.

2 [The prepared statement of Dr. Sulmeyer follows:]

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1 Senator Rounds: Thank you, Dr. Sulmeyer.

2 First of all, let me thank all of you for some great
3 insight, and I look forward to your thoughts in terms of the
4 questions that we ask.

5 What I would like to do is to do what we call 5-minute
6 rounds here. We will alternate back and forth. And then
7 after we have done that once through, if we have time, I
8 would go back through and do a second round depending upon
9 the amount of time that we have and whether or not other
10 members come.

11 Let me begin with mine. I am going to start with Dr.
12 Harknett. You have written that restraint and reactive
13 postures are not sustainable, that the United States needs a
14 strategy that capitalizes on the unique attributes of the
15 cyber domain. You have called for a strategy of cyber
16 persistence where we are constantly engaged with our
17 adversaries seeking to frustrate, confuse, and challenge.

18 How would your strategy calling for persistent
19 engagement apply in the Russian meddling with our election
20 as an example? And should this involve us contesting the
21 malicious behavior at its source? And what do you believe
22 are the consequences of our failure to respond in cyberspace
23 to the Russian election interference? Because, number one,
24 we have got to be able to provide attribution to where it is
25 coming from, and hopefully we have got that completed. But

1 give me your thoughts on it. What would you say would be an
2 example of persistent engagement with regard to what they
3 have done already and what we expect them to do?

4 Dr. Harknett: Thank you, Senator.

5 So let us think about the Internet Research Agency.
6 Right? I mean, we know about this center in St. Petersburg.
7 We know that it controls a series of automated bots that are
8 driving particularly well conceived information operations
9 that are meant to be divisive. I do not know why we are
10 according or why we should accord First Amendment rights to
11 bots. It is not a free speech issue. If we have evidence
12 of foreign manipulation, technical manipulation, of the
13 social media space, that is not what the American people,
14 from an educated standpoint, actually understand is coming
15 at them. They think that this is a majoritarian aggregator
16 trending. It is telling me, hey, this is where everybody is
17 going. But if that trend is being driven by automated
18 foreign intrusion, that is not an issue over free speech.
19 That is an issue of direct foreign manipulation.

20 And so I agree with Dr. Sulmeyer. We need to have the
21 reconnaissance, to your point about attribution. That is
22 what persistence enables you to do, to start to get better
23 at attribution. But we need to be able to move at the speed
24 of relevance. So if in fact those bots are hitting us in a
25 particular trend that is meant to be divisive, we should be

1 able to have the capacity to at least disrupt if not disable
2 that capacity.

3 So we do know where some of these capacities lie. By
4 being persistent in our reconnaissance, we will get a better
5 understanding of what our vulnerability surface is. We have
6 to think about it that way. We tend to think about an
7 attack surface. That is from their perspective. We have to
8 get a better handle on what our vulnerability surface is.
9 And by being able to understand where our vulnerabilities
10 are and anticipate where their capabilities map to that,
11 again, a product of being persistent in this space, we can
12 start to take those capabilities away.

13 Senator Rounds: Dr. Sulmeyer, do you agree with that?

14 Dr. Sulmeyer: I do. I agree with the vast majority of
15 what my colleague, Dr. Harknett, just said.

16 For me, even just to get a little more specific, the
17 kinds of options that I would want to be seeing presented
18 need to allow decision-makers some flexibility from lower-
19 level actions like denying troll farm access to compromised
20 infrastructure, to deleting some accounts, to erasing some
21 systems if it comes to it. It is too important to take
22 options off the table ahead of time. So as long as the
23 option space is kept open, we can do it persistently or less
24 persistently, but a wide range of options.

25 Senator Rounds: Mr. Butler, your thoughts.

1 Mr. Butler: I agree with both Michael and Richard on
2 this. I would say that we need to be asymmetrical in our
3 response. So I am a big believer in botnet disruptions and
4 taking down bot infrastructure, as we just saw with
5 Levashov, but we need to do that in a continuous way and
6 that is a symmetrical response.

7 I think if you look at the Internet Research Agency in
8 St. Petersburg, they are coupled to the Kremlin. You need
9 to have an information operations counter-influence campaign
10 where you begin to cut the funding and cut the support
11 enablers behind that infrastructure. So we need to think
12 about things differently. It should not be cyber on cyber,
13 social media on social media. It has got to be a broader
14 campaign.

15 Senator Rounds: Ms. Conley?

16 Ms. Conley: Yes. I will agree with absolutely the
17 asymmetrical response. And while trying to bring down the
18 infrastructure of those bots, what they are doing, though,
19 Russia exploits the weaknesses that it finds. So it is
20 amplifying the weaknesses and divisions that are already
21 appearing on social media. So how do we try to reduce the
22 weaknesses?

23 And this, again, gets back to the critical importance
24 of exactly what this committee represents, the
25 bipartisanship, fact-based, and getting to communities

1 through a variety of methods to help inform the American
2 people so when they see a trending site, let us look at
3 that. What is underneath that? The only way we can really
4 stop this from changing hearts and minds among the American
5 people is helping them discern what is coming. We can do
6 everything we can technologically to eliminate it. But the
7 other part is just missing. We are not educating.

8 On the asymmetrical sanctions, my frustration -- and I
9 am sure many on this committee as well --

10 Senator Rounds: I am going to ask you to shorten it up
11 because my time has expired.

12 Ms. Conley: Absolutely, sorry about that. Is to think
13 about ways that we can focus on the Kremlin, on financial
14 sanctions, on sanctioning the inner circle as ones
15 attributable back to that, so not just in the cyber domain,
16 focusing on financial sanctions and individual sanctions.
17 That could be very powerful as well.

18 Senator Rounds: Thank you.

19 Senator Nelson?

20 Senator Nelson: So all of you sound like that you just
21 do not think enough has been done and that we are not ready.
22 And, Dr. Harknett, you have said that 2016 was the Stone Age
23 compared to what is going to happen. So do you want to
24 trace what you think will happen?

25 Dr. Harknett: Well, one of the things, back to the

1 chairman's question about whether the lingering effects, is
2 again we have got adversaries who are confident. There are
3 other actors aside from Russia out there as well that are
4 going to look at this space and say, hey, this is a space
5 that I can play in and I can work in. And so until we start
6 to reverse that confidence, we are going to see greater
7 experimentation.

8 Technologically, I will give you one example, Senator.
9 My concern with regard to leveraging artificial intelligence
10 and machine learning. I mean, this will be a step function,
11 thus my Stone Age allusion, from where we are. We are going
12 to -- within the next 16 months, I am going to be able to
13 take you and put you in a video in which you are saying
14 something that you never said in a place that you have never
15 been, and you are not going to be able to authenticate that
16 you were not doing -- that you had not done that and not
17 been there. Just think about that as a tool for an
18 adversary who wants to engage in disruptive social cohesion
19 types of information campaigns.

20 Senator Nelson: Right.

21 Dr. Harknett: That is around the corner.

22 Senator Nelson: So, Ms. Conley, given that, you have
23 already said that you do not think we have taken any
24 positive proactive steps. Why do you think that is the
25 case?

1 Ms. Conley: I think the executive branch refuses to
2 recognize the threat. It refuses to put forward a national
3 whole-of-government, whole-of-society strategy and bring all
4 the agencies and tools of influence to bear on this. We
5 have to think of this as a direct threat to the national
6 security of this country. It has to receive the priority.

7 Also, to focus on what Dr. Harknett said, this is
8 adaptation. If we are preparing for what Russia did in
9 2016, it will be very different in November. It will be
10 very different in 2020. It will look more American. It
11 will look less Russian. And so this is adaptation. We are
12 already fighting the last war. We are not ahead of the new
13 one, which is why I think education is so critical, that
14 absent a U.S. Government approach, we are all going to have
15 to do our part in our communities to inform the American
16 people about the threat. It is unfortunate we cannot pull
17 together and do this in a unified way.

18 Senator Nelson: So if we cannot get the government to
19 move, are there any private initiatives that would help?

20 Ms. Conley: What I am seeing is some very effective
21 news literacy campaigns. I think, again, news sources,
22 social media are doing fact checking. The pressure that
23 Congress has brought to bear on the social media companies
24 is changing their perspective. But, again, we are so late
25 to need. This has been ongoing. This campaign is only

1 intensifying, and we are just getting our arms wrapped
2 around this. So this is where every Member of Congress has
3 to return to their home district and talk about this in very
4 clear ways.

5 Senator Nelson: Amen to that.

6 And, Dr. Harknett, on the example that you gave of the
7 next level of technology, of which something can be created
8 that looks real, acts real, feels real, et cetera, if Cyber
9 Command were to adopt your thinking, knowing what the threat
10 is even greater in the future, what would you suggest that
11 they change the way that they are doing their operations?

12 Dr. Harknett: I think it is very important to expand
13 this notion of defending forward, this notion that we need
14 to be as close to the source of adversarial capability and
15 decision-making as possible. This is not a space in which
16 time and geography is leveragable for defense. So when we
17 think about the notion of front lines, the front lines are
18 everywhere. And right now, our general approach has been to
19 defend at our borders, at our network, which actually means
20 that we start defending after the first breach, and we are
21 already playing catch-up.

22 So I concur with the notion of adaptability here. It
23 is all about anticipation. So when Bob Butler talks about
24 asymmetric, that is what I would talk about in terms of
25 being able to be one step ahead. We have to be able to

1 anticipate the exploitation of our vulnerabilities. You
2 need to be able to be defending as far forward as possible.
3 In terrestrial space, we defend forward. We are not
4 defending forward in cyberspace right now.

5 Senator Nelson: Thank you.

6 Senator Rounds: Senator Gillibrand?

7 Senator Gillibrand: Thank you, Mr. Chairman and Mr.
8 Ranking Member, for having this hearing.

9 Thanks to all of you for your testimony. I agreed with
10 a lot of it.

11 So to Professor Harknett, I appreciate your effort to
12 redefine cyberspace and the challenges we face in operating
13 within it. Were Russia to have bombed one of our States
14 rather than attacked our election infrastructure, we would
15 treat it just like an attack, as you said. But because of
16 the way in which we set up our cyber capabilities, which we
17 have done for good reasons, including privacy and States
18 rights, it seems to me that the DOD is hamstrung in trying
19 to properly respond to an attack on our democracy.

20 I have asked this in many settings, and every single
21 time they said it is not our job.

22 So you argue that we need to consider authorities that
23 allow DOD, DHS, and our intelligence community to employ a
24 coordinated strategy of cyber persistence and recommend
25 looking at approaches emerging among all of our allies. Can

1 you expand on what kind of authorities we should be
2 considering and what we might learn from our allies?

3 And I ask this because I have put this question to the
4 Department of Defense in every setting we have had, any
5 conversation about cyber, and every response is we do not
6 have the authorities and the States rights issue. It is not
7 our job. And I cannot, for the life of me, understand why
8 they do not see it as their job because if another country
9 bombed any one of our States, then that is a declaration of
10 war and we would have responded from the military. We are
11 not doing that in this regard, and it seems really off-
12 putting to me. Their response is often, that is Homeland
13 Security's job. They can call us if they need us, but they
14 have not. I understand why that is probably not the case
15 because of a lot of secretaries of state in a lot of States
16 think it is their job, not anyone else's job, and they do
17 not want to relinquish that control.

18 So I would like your suggestions on how to write the
19 authorities that you think are necessary, but also I have
20 really tried to push National Guard as a possible place
21 where this can be done because the National Guard already
22 serves the States. They are already under control of the
23 governors. So why not amplify what we are already doing
24 with our National Guard and Reserve to give them the
25 expertise in cyber but actually delegate this mission

1 specifically to them in conjunction with all the other
2 assets in the military?

3 So to all of you, you can answer this question. You
4 start, Dr. Harknett, since you addressed it a little bit in
5 your opening remarks about what authorities can we give.
6 How can the National Guard be useful, and how do we get this
7 done? Because it is frustrating to me that we are not doing
8 it.

9 And then just a third thing to add to your answer. I
10 do have a bill with Lindsey Graham to do a 9/11 deep dive
11 style analysis of the cyber threat to our electoral
12 infrastructure. It is a bipartisan bill. You know, whether
13 we ever get a vote on it, I will never know, but that would
14 be a great first step in my mind to at least just get a
15 report and say these are the 10 things you need to do to
16 harden our infrastructure. So maybe comment on those three
17 ideas.

18 Dr. Harknett: Thank you, Senator.

19 You mentioned our allies, and I think Michael had some
20 work that he has been doing as well analyzing them. I think
21 if you look at the UK, for example, you look at the
22 Israelis, you look at the Australians, their first default
23 in cyberspace is to ask how do we find synergy, not
24 segmentation. Our entire approach to this space has been
25 starting with who has divided roles and responsibilities.

1 So I think we can learn something from our allies right now
2 in terms of their orientation to trying to find synergy
3 rather than segmentation. That should be our first policy
4 framework question.

5 But in terms of authorities, I think there is a false
6 debate, say, for example, between 10 and 50. So when I
7 argue for a seamless notion, I am suggesting that we
8 understand title 10 and title 50 as actually mutually
9 reinforcing, not defined as, again, segmentating. They
10 segment in Congress in terms of oversight, and I get that,
11 but they do not segment in operational space. And so we
12 should actually understand and reinterpret, I would argue,
13 those authorities to emphasize where a synergy and where
14 there is seamless reinforcement rather than looking at those
15 authorities as something that divides and puts us into
16 different lanes.

17 In terms of the National Guard, I think the cyber
18 protection teams and force type of an approach would be
19 appropriate. We need to get at this, Senator. So if that
20 is the best mechanism, there is expertise at that level.

21 And Ms. Butler has talked about leveraging our private
22 sector. Through National Guard, as well as Reserve, we have
23 a capacity. If you look at the Brits, they are looking at
24 cyber civilian reserve force. I think that is another
25 interesting way of thinking about this.

1 So ultimately if we need to do a deep dive, I think we
2 do. Right? I think we have authorities that are structured
3 for a terrestrial space that does not map to the realities
4 of this human-made interconnected space. Authorities are
5 what we should do last. We should figure out what our
6 mission is. We should develop the organizations to pursue
7 those missions, and then we should authorize them to do it.

8 I would submit to you that one of the major problems
9 that we have faced is we have been continually trying to
10 shoehorn our cyber forces into existing authorities and
11 working backwards from the way we should be working.

12 Senator Gillibrand: Ms. Conley?

13 Ms. Conley: Senator, I think the National Guard is an
14 area that we absolutely should explore, and I mentioned it
15 in my written as well as far as education, bringing together
16 DHS, DOD, working with community leaders at the State and
17 local level.

18 On the 9/11 Commission style, cyber is critical pillar
19 of this, but it transcends it as well. We need to look at
20 Russian economic influence. We have to look at a whole
21 range not just of Russia as the adversary but other
22 adversaries that will use cyber disinformation and economic.
23 So please broaden that out. They will find any seam, State,
24 federal, First Amendment, privacy. That is where they will
25 be, and that is why we cannot get locked into those seams.

1 Mr. Butler: Senator, I take it from two different
2 angles. One is clean-sheet everything. What do you want to
3 do? And let us refocus the authorities. Catherine
4 Lotrionte's work here in looking at countermeasures is a
5 great example of that. Her legal interpretation of the
6 Tallinn Manual is very different than what most people are
7 saying these days.

8 The other thing is I am involved in exercises where I
9 am blending physical and cyber together and looking at what
10 we can do with physical authorities in cyberspace. So I am
11 working with the Army Cyber Institute on an activity where
12 we have a natural hazard and a nation state actor is
13 manipulating inside of it. How do you get a rolling start?
14 You can use our authorities. The military has the ability
15 to use an immediate response authority to create a rolling
16 start. We need to leverage. We need to reinterpret and
17 leverage these kinds of things as we go forward.

18 A part of that is the National Guard Bureau. We have
19 unevenness within the stand-up of our National Guard
20 activities both in the air and now with the Army. We have
21 both cyber and information operations. I think we could
22 create pockets of talent. I mean, Washington State has a
23 phenomenal industrial control system security unit.
24 Maryland has a fantastic unit where they leverage a lot of
25 NSA expertise. We have got units spread around the country.

1 We need to create a construct of cyber mutual assistance
2 across boundaries, across State borders. And, again, I
3 think we can do that. We have just got to sit down and plan
4 together a campaign in that regard.

5 Senator Rounds: While the Senator's time has expired,
6 if you could expedite your answer, we will let you finish up
7 as well, sir.

8 Dr. Sulmeyer: I will go real quick. I support all the
9 goodness just said.

10 Abroad, I do not believe the kinds of activities I
11 described earlier need new authorities.

12 On the deep dive, I would say great. The Belfer
13 Center's work over the last year has tried to get a start on
14 that. So we hope we can be of support.

15 And on coms and education, there is a part of me that
16 wonders if that by saying "cyber," the response is help
17 desk. And by not describing it in a way about warfare and
18 propaganda and foreign influence, we do a disservice to the
19 real problem.

20 Thank you.

21 Senator Rounds: Senator Blumenthal?

22 Senator Blumenthal: Thank you, Mr. Chairman.

23 I want to thank all of you for being here. I am very
24 familiar with the work done by the Belfer Center in
25 particular, and thank you all for the work that is done by

1 each of your organizations.

2 I want to first tell you -- you probably already know--
3 that the immediacy and urgency of this task was reinforced
4 this morning before the Senate Intelligence Committee where
5 Dan Coats, the Director of National Intelligence, said,
6 quote, there should be no doubt that Russia perceives its
7 past efforts as successful and views the 2018 midterm
8 elections as a potential target for Russian influence
9 operations. That statement would be beyond conventional
10 wisdom. It would be unnecessary to state because it is the
11 consensus of our intelligence community. It has been
12 broadly accepted by everyone except the President of the
13 United States. And in my view that is the elephant in this
14 room, that the President refuses to acknowledge this threat
15 to our national security.

16 So I put that on the record simply because we can
17 propose all the great ideas in the world. And some very
18 good ideas, as a matter of fact, came from a report done by
19 the Senate Foreign Relations Committee. It is a minority
20 report by my colleague, then-Ranking Member Senator Cardin,
21 called "Putin's Asymmetric Assault on Democracy and Russia
22 and Europe Implications for U.S. National Security." It
23 makes some very good proposals.

24 I would be interested to see the Belfer Center's
25 release today, and in fact, without even having seen it, Mr.

1 Chairman, I ask that it be made part of our record.

2 Senator Rounds: Without objection.

3 [The information referred to follows:]

4 [SUBCOMMITTEE INSERT]

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1 Senator Blumenthal: But I think we need to make
2 progress on gaining acceptance at the highest levels of the
3 United States Government -- let me put it as diplomatically
4 as possible -- for the proposition that Russia attacked our
5 democracy. In my view it committed an act of war. They are
6 going to do it again unless they are made to pay a price for
7 it, and that includes enforcing sanctions passed
8 overwhelmingly by this body 98 to 2, still unenforced. So
9 the talk about retaliatory measures in real time, Dr.
10 Sulmeyer, I think is very well taken. But why should the
11 Russians take us seriously when the President denies the
12 plain reality of their attacking our country and the
13 sanctions that would make them pay a price are still
14 unenforced?

15 All of that said, I want to raise another topic, which
16 I think so far has been untouched, the social media sites,
17 Facebook, Google. Let me ask each of you if you could
18 comment on what their responsibilities are and how they are
19 meeting them in this disinformation, propaganda campaign
20 using bots and fake accounts which have been appearing on
21 those sites. Mr. Butler?

22 Mr. Butler: I think, Senator, the response -- and I
23 have talked with a couple of the web-scale companies about
24 this -- is aligning with what we have already seen in the
25 counterterrorism fight. And so in that space what you see

1 is them actively, proactively looking for disinformation, in
2 the case of terrorism, of course, looking for recruitment.
3 I think the challenge is guidance with regard to counter-
4 narratives or alternative narratives in that space. That
5 needs to be done with others. But I think that is where we
6 need to head. They have the ability based on their reach
7 and their fusion engines to really help us move much more
8 quickly into active defense in this space and not just to do
9 it from a cyber perspective but from a counter-influence
10 perspective which I think is so critical.

11 Senator Blumenthal: Thank you.

12 Ms. Conley?

13 Ms. Conley: Thank you, Senator.

14 I would just note that building the awareness of what
15 Congress has already done to force the social media
16 companies to really take a very deep look at this has been
17 very helpful.

18 I would suggest to you that I think Russia will adapt
19 their tools, that this will look more and more American,
20 which will get more and more into First Amendment issues
21 because that is a weakness to exploit here.

22 So what I would commend, in the interest of being ahead
23 of the curve and not behind it, is we start looking at how
24 social media engines can start detecting what looks like it
25 is American origin but it in fact is not. So that would be

1 the next step I would recommend.

2 Senator Blumenthal: Thank you.

3 Dr. Harknett: I think we have to move away from a
4 partnership model, to be perfectly honest with you. We have
5 been talking about a public-private partnership for 25
6 years. I published about this 25 years ago. And the
7 problem is that partnerships require shared interest in the
8 beginning of the morning. The private sector has a very
9 specific interest: profit making. The state has a very
10 specific interest: security providing. We should recognize
11 and grant that they have a different interest.

12 And so we need to move us to an alignment model. How
13 do we structure incentives within the marketplace for them
14 to achieve their primary objective, which is profit making,
15 while producing an effect that the state requires, which is
16 enhanced security?

17 And until we actually start to actually think about how
18 can we shape and incentivize that behavior and recognize
19 that we actually have very different interests in this
20 space-- I mean, that is Strava fitness band company a few
21 weeks ago produced a heat map that exposes all of our
22 forward-deployed troops. I would submit to you that nobody
23 at their board meeting, when they came up with this really
24 great idea of releasing that heat map -- and they said,
25 look, our stuff is in the real dark places, and they thought

1 that was really cool. 10 years ago, the intelligence
2 capacity that a state would have had to have found all of
3 our forward-deployed troops -- think about that. And this
4 was produced by a fitness company.

5 There are non-security seeking, security relevant
6 actors in this space. That is the way we have got to think
7 about them. Let us meet them on their grounds and start to
8 get them to align towards the security needs that we have.

9 Senator Blumenthal: Thank you.

10 Dr. Sulmeyer: Briefly I would just note the interests
11 are not aligned, and that is really the most essential part
12 and to not treat them all the same. Not all the companies
13 have gone through the same amount of self-reflection. Some
14 have not; some have. And we should be honest about that.

15 And finally I do not think we should limit this to
16 social media companies. There is a lot of companies up and
17 down the stack, a lot of different types of people on the
18 Internet who have an interest in this type of work.

19 Senator Blumenthal: Thank you all.

20 I apologize, Mr. Chairman. I have gone over my time.

21 Senator Rounds: What I would like to do is another
22 round. Okay? Let us do it this way. Let us do one more
23 round so that everyone has an opportunity. We will make it
24 5 minutes. And I would simply say that for those of us up
25 on this end -- and I went over as well -- let us phrase it

1 so that when we hit the 5 minutes, whoever is final speaking
2 on it will have their -- that will be the last one and we
3 will move from there.

4 So with that, let me just begin with this very quickly.
5 Right now, we are looking at changing our hats, our dual
6 hats. Right now, within the cyber community, we have a
7 dual-hatted individual for both title 10 and title 50
8 operations and so forth. We are looking at separating those
9 into separate items: title 10 one side, title 50 on the
10 other. The cybersecurity side would be separated out from
11 the NSA side and so forth. We had a lot of discussions over
12 it. We were concerned at first that they were going to go
13 very, very rapidly. Now there is the discussion about
14 whether or not moving in this particular way is quick
15 enough.

16 I just want to know your thoughts about whether or not
17 we are actually approaching the challenges that are facing
18 us in the right way with regard to the organization of
19 government as a whole. Can I just very quickly go across
20 and just ask each of your thoughts about whether or not we
21 are moving in the right direction as to how we are arranging
22 so that we can respond to these types of threats? I will
23 begin with Mr. Butler.

24 Mr. Butler: Thank you, Senator.

25 Let me start with the CYBERCOM/NSA issue. My sense is

1 we are at a point where we have got enough of the
2 infrastructure developed to really work within Cyber
3 Command, that we are not as dependent as we once were on the
4 National Security Agency.

5 I think the other part of this is as we move forward
6 with the kinds of influence strategies that we are talking
7 about, we need to have a way of checking and understanding
8 whether it is working. And so we need an activity that
9 understands this space that can help Cyber Command make
10 adjustments along the way.

11 So I support the split and support where we are trying
12 to go as we move forward. And as we take a look at those
13 two elements and we put it into a larger DOD IC and whole-
14 of-government, whole-of-America construct, I go back to what
15 I put in my written statement. I think from my perspective,
16 having been through this both in uniform and doing
17 information operations campaign planning and where we are
18 today, we need to get the best of America into this space.
19 There is a role for DHS. The FBI is very engaged. There is
20 a role for the Department of Defense that goes beyond the
21 National Guard Bureau that ties in with the intelligence
22 community. There is a role for trusted private sector
23 partners in this space. As a matter of fact, you cannot
24 scale without it. So I think we have to align.

25 Senator Rounds: Thank you.

1 Ms. Conley?

2 Ms. Conley: The organizational structure gets to the
3 reason why we needed a comprehensive 9/11-type commission
4 because we are horribly structured for this particular
5 challenge. It falls within the streams of law enforcement,
6 intelligence, defense, education, awareness, and that is why
7 we need a deeper dive to get to a reconfiguration. Just as
8 we did after 9/11 with the DNI and DHS, we restructured
9 ourselves. We need to do that again.

10 Senator Rounds: Thank you.

11 Dr. Harknett?

12 Dr. Harknett: I fully concur that we should do that
13 deep dive, and I would urge us to reconsider the split of
14 the dual hat. And I know that that is not the current view.
15 This notion of my litmus test. Are you producing more
16 synergy or are you producing more segmentation? There is
17 not one of our allies that is moving in that direction.

18 Senator Rounds: Let me just ask one question on that
19 very quickly because one of the items was is that we know
20 that on the title 50 side, on the NSA side, they love to be
21 deeply embedded and they do not want to be seen. There is a
22 real concern out there that if they actually actively and
23 more persistent that they are constantly being seen, that
24 that interrupts their capabilities to be the intelligence
25 gatherers that they are. How do we then allow for that

1 constant and persistent activity if they have the same
2 concern about they would really rather not been seen? They
3 just simply want to be the deep ears for us.

4 Dr. Harknett: So I think having the dual hat enables
5 that kind of determination to be made. The sensitivity of
6 both when and where we are going to make certain tradeoffs
7 and where that seamless between intelligence and --

8 Senator Rounds: But it is not working today. Is it?

9 Dr. Harknett: No. I think it can. I think it can,
10 sir.

11 Senator Rounds: But we do not have evidence.

12 Dr. Harknett: But if you look at our adversaries, why
13 are they not worried about burning capabilities? Why are
14 they not worried about -- we have had a high-end right kind
15 of focus to all of this both in the recon phase and in the
16 force phase that I think has actually been distorting of
17 this space.

18 Senator Rounds: I am going to move over very quickly
19 because Dr. Sulmeyer has been shorted each time around here.

20 Dr. Sulmeyer: You always pick on the Harvard guy.

21 [Laughter.]

22 Dr. Sulmeyer: I think we are back to different
23 interests. The two different institutions have matured and
24 now they have different missions, different jobs to do. And
25 the current structure, what you can say for it, is very

1 efficient decision-making because it is one person who makes
2 the decision. I think it is time, though, for two different
3 and for an adjudication to be made for which priorities are
4 going to take precedence each time.

5 Senator Rounds: Thank you.

6 Senator Nelson?

7 Senator Nelson: But until we evolve into that new
8 structure, we are stuck with what we have. And we set up
9 these Cyber Command national mission teams to disrupt the
10 Russian troll farms, the botnets, the hackers, all engaged
11 in attacks on our democracy, re the elections. And we can
12 identify them, the infrastructure they use. We can identify
13 their plans, their operations. We can do everything that we
14 can to stop these activities, but if you do not do anything,
15 it is not going to happen. And until the existing structure
16 that we have -- the Secretary of Defense walks into the room
17 and says, boss, and his boss is the commander-in-chief --
18 until he says, boss, we have got to act, nothing is going to
19 get done.

20 So are we describing a situation that we are
21 defenseless in this 2018 election?

22 Mr. Butler: My sense, sir, is no. My recommendation
23 is, in the homeland defense mission of the Department of
24 Defense, we should stand up a JIATF and move forward as we
25 begin to move to another level, which would be a national

1 security task force. But in the interim, this committee has
2 jurisdiction. The Secretary has prerogatives to set up a
3 JIATF in support of homeland defense. This is a homeland
4 defense issue.

5 Dr. Harknett: I would just add one. I think it is a
6 defend the nation issue.

7 Senator Nelson: I think you are right. I think this
8 is as clear an attack on the country as if you lobbed a
9 missile or if you lobbed an artillery shell.

10 Senator Blumenthal wanted to ask the question. One of
11 you had stated that it is going to morph into where the
12 attacks are going to look more American. Would you expand
13 on that, please?

14 Ms. Conley: Senator, that was me.

15 It is in part from some of the lessons we learned from
16 the French presidential election. The last cyber attack,
17 which happened within the last 24 hours of the campaign --
18 it was a combination of both hacked emails from Macron's
19 campaign, as well as made-up messages, and it was all mixed
20 in between. What we understand -- and I do not have access
21 to classified briefings from our French colleagues -- where
22 the source came from looked like it was coming from the
23 United States, from U.S. organizations. And some of this is
24 tied into adaptation where they do not want it to look like
25 a Russian bot. They do not want it to look Russian. They

1 wanted to originate from other sources to confuse and make
2 attribution questionable in those last few moments.

3 So my intuition tells me that more and more of these
4 attacks will look like they are coming from America. It
5 will obscure attribution, and then people will say this is
6 their First Amendment right to say these things and put
7 forward these -- that is the problem.

8 Senator Nelson: And how did the French counter that?

9 Ms. Conley: Well, very gratefully, the French have a
10 very unique -- they have a blackout period 24 hours before
11 an election. It is a reflection period. And because the
12 French government and intelligence agencies had made very
13 clear repeatedly and publicly that this was likely to
14 happen, French media were very responsible. They could not
15 fact check the material in time. The reflection period
16 would not move forward. And in fact, that last major attack
17 was really thwarted because both of a law but also a lot of
18 French proactive steps to inform their public that this
19 could happen.

20 Senator Nelson: And that was in the last 24 hours
21 before the French election.

22 Ms. Conley: So what had happened, it was the
23 presidential election debate between Marine Le Pen and
24 Emmanuel Macron. It was the Wednesday before the election
25 on Sunday. And in that debate, she began to hint that there

1 may be some information about potentially Mr. Macron's
2 overseas bank accounts and sort of hinted at this. Then
3 about 24 hours later, the document release happened. So one
4 could speculate that there was some coordination. But
5 because it hit so late, it really did not have the impact.
6 But, again, responsible media, government warnings, and the
7 reflection period all prevented something that, if it would
8 have happened 72 hours before, may have had a different
9 impact on that election.

10 Senator Rounds: Senator Gillibrand?

11 Senator Gillibrand: Thank you.

12 Just following up on a couple things. You said the
13 Belfer Center already has done a deep dive on how we were
14 hacked and ways to prevent it. Is that true?

15 Dr. Sulmeyer: Senator, the two reports are about the
16 practices that campaigns and State and local officials can
17 take based on field research about what they found as
18 vulnerable and techniques that were effective in the past,
19 so ways to shore up those defenses. It is not going to be
20 that kind of a deep dive like you are --

21 Senator Gillibrand: Have you distributed that to the
22 50 States?

23 Dr. Sulmeyer: I believe so, yes.

24 Senator Gillibrand: Have you gotten comments or any
25 response back?

1 Dr. Sulmeyer: It went live today.

2 Senator Gillibrand: So I would like to request that
3 you brief this committee on what the responses are to each
4 of those efforts to outreach the different States and a copy
5 of the report for all committee members so that we have our
6 own first draft of what our 9/11 deep dive might ultimately
7 look like because this has to be done. And it is striking
8 to me that there is no sense of urgency by this
9 administration. It is absolutely crazy as far as I am
10 concerned. And so I want to work towards elevating this
11 issue, and your work will help us do that.

12 Dr. Harknett, you mentioned in your comments that bots
13 do not have free speech rights. I could not agree with you
14 more. So what kind of legislation do you think we could
15 write or could be written to say we expect these platforms,
16 whether it is Facebook or Twitter or Instagram or any other
17 online community, to not sell its technology to fake
18 entities who are posing as real people? And the reason I
19 say that is it is simple fraud, as far as I am concerned,
20 because you are doing it for the purpose of changing
21 someone's mind, distracting them, giving them false
22 information. And I believe it should be illegal under the
23 same analysis that we have for fraud statutes. How would
24 you go about trying to take away those free speech rights
25 that are given to non-entities today?

1 Dr. Harknett: Thank you, Senator.

2 So I am not a lawyer, but I would build on what you
3 just said. I think the notion of our default to fraud -- so
4 if in fact what you are trying to sell is trend, if that is
5 the actual operative thing that you are trying to -- then
6 that actually should be capturing human behavior. And so we
7 really have to think through -- I mean, this is very tricky.
8 But legislatively we have to separate out human behavior
9 from automated behavior, and automated behavior can be
10 classified as falsification of trending, if you wanted to
11 capsule it in that fashion. So I think the notion of
12 understanding technical manipulation of the space is not
13 smart marketing. It is manipulation and therefore should be
14 out of bounds.

15 Can I make one quick comment on your deep dive?

16 Senator Gillibrand: Yes.

17 Dr. Harknett: I would look as another example,

18 Eisenhower's solarium exercises back in the 1950s.

19 President Eisenhower said, okay, what is going to be our
20 macro level grand strategy? Set up three competing teams to
21 come up with what those strategies should look like, and
22 that is where containment and deterrence came from. It is
23 an interesting alternative approach, but we get at the same
24 sort of things that you are looking at.

25 Senator Gillibrand: Like a national competition?

1 Dr. Harknett: Well, he brought together three very
2 specific groups of experts. They were given access to
3 classified information, but they worked as independent
4 teams. And then they were brought together to knock heads
5 over what the best route to a grand strategy looks like.

6 We do not have a cyber grand strategy, and we do not
7 have a grand strategy for cyberspace. I can tell you the
8 Chinese do. They have announced it. They are going to be
9 the number one AI country by 2030. We need to start to
10 think in those kinds of grand strategic terms.

11 Senator Gillibrand: Other thoughts?

12 Mr. Butler: Yes. Senator, I would build on the Honest
13 Ads Act. You have got elements in this particular
14 legislation which gets to what we want online platforms to
15 do. They can identify botnet infrastructure and are
16 beginning to identify infrastructure that has origin in
17 elements that are nefarious. And so I think I would add to
18 that as one way of kind of tackling this issue.

19 The second point. I do not want to disagree too
20 strongly with my colleagues here, but I have worked in the
21 private sector and I have worked on the public sector side.
22 And I know that there are models that can work to align
23 incentives. The enduring security framework is a good
24 example of that. We have had it work before. When you show
25 private sector and national security government elements

1 working together a threat of this magnitude and you provide
2 some type of limited liability protection, you can get
3 there. It took us a long time with Facebook, Twitter, and
4 Microsoft to get to pulling terrorists' data offline, but
5 they are doing it now. My sense is the sooner we get into
6 this process with creating an alignment of not only
7 incentives but understanding of the problem -- and again, it
8 is not with everyone. It is with folks who can do things on
9 scale and really help us as a nation.

10 Senator Gillibrand: Thank you.

11 Thank you, Mr. Chairman.

12 Senator Rounds: Thank you, Senator Gillibrand.

13 First of all, let me just take this time to say thank
14 you very much to all of our witnesses for your time. You
15 spent an hour and a half with us today. It has been greatly
16 appreciated. I would suspect that we will be speaking again
17 in the future as we continue to learn more about the
18 challenges and the threats that face our country. It is not
19 going to get better. It is going to get worse. We all
20 recognize that. Our challenge is to make sure that we have
21 the right long-term strategies and that they are being
22 properly implemented. As such, I think we have got a lot of
23 work to do.

24 With that, once again, thank you. Thank you for the
25 participation of our members here today.

1 At this time, this subcommittee meeting is adjourned.

2 [Whereupon, at 3:53 p.m., the hearing was adjourned.]

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