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Before the

Subcommittee on Emerging Threats and Capabilities

COMMITTEE ON  
ARMED SERVICES

**UNITED STATES SENATE**

TO RECEIVE TESTIMONY ON SCIENCE AND  
TECHNOLOGY, TECHNOLOGY MATURATION, AND  
TECHNOLOGY TRANSITION ACTIVITIES

Wednesday, April 21, 2021

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2 MATURATION, AND TECHNOLOGY TRANSITION ACTIVITIES

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4 Wednesday, April 21, 2021

5  
6 U.S. Senate

7 Subcommittee on Emerging

8 Threats and Capabilities

9 Committee on Armed Services

10 Washington, D.C.

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12 The committee met, pursuant to notice, at 2:30 p.m. in  
13 Room SR-222, Russell Senate Office Building, Hon. Mark  
14 Kelly, chairman of the subcommittee, presiding.

15 Committee Members Present: Kelly [presiding], Shaheen,  
16 Kaine, Warren, Peters, Ernst, Fischer, Cramer, Scott,  
17 Blackburn, and Tuberville.

1           OPENING STATEMENT OF HON. MARK KELLY, U.S. SENATOR  
2 FROM ARIZONA

3           Senator Kelly: Good afternoon, everybody. Thank you  
4 for joining us today. The Emerging Threats and Capabilities  
5 subcommittee meets this afternoon to receive testimony from  
6 the leaders of the Department of Defense's Science,  
7 Technology, and Innovation Enterprise on how they are  
8 working to ensure that the United States retains its  
9 technological superiority to support defense and national  
10 security missions.

11           I would like to welcome our witnesses today, Dr. Peter  
12 Highnam, Deputy Director of the Defense Advanced Research  
13 Projects Agency, DARPA; Major General John George,  
14 Commanding General of the Army Combat Capabilities  
15 Development Command; Rear Admiral Lorin Selby, Chief of  
16 Naval Research; and Brigadier General Heather Pringle,  
17 Commander of the Air Force Research Laboratory.

18           I also want to take the opportunity to thank you all  
19 for being here today and for your continued service to the  
20 nation, and for your efforts to ensure that we are  
21 leveraging innovation to support our servicemembers. As we  
22 all know, the United States is in a competition with China  
23 in all areas of technology critical to our national  
24 security. To date, our technological superiority in areas  
25 like advanced combat aircraft, missile technologies,

1 nuclear, and space technology, and land and naval power have  
2 been enough to maintain our advantage, despite the ambitions  
3 and population of China.

4 In my view, it is critical that we maintain our  
5 military superiority to continue to show the Chinese  
6 government and our competitors that starting a conflict, or  
7 challenging us, is not in their best interest. I believe  
8 that our technological capability is central to that aim.

9 While innovation is what we have historically done  
10 better than anyone, technological superiority is not a  
11 natural right of our nation. It has been earned and  
12 developed over decades by the people like our witnesses and  
13 their predecessors, who worked to leverage American  
14 ingenuity and innovation, people who work to establish  
15 partnership between the military, civilian agencies,  
16 industry, academia, and international partners to develop  
17 and deploy the weapons systems and defense capabilities that  
18 we have today. Capabilities ranging from radar to precision  
19 weapons to fifth-general fighters to robots on the  
20 battlefield all have their roots in the science and  
21 technology enterprise that we are discussing today.

22 It is also important to remember that our national  
23 investment in these research programs ultimately benefits  
24 not just the Department of Defense but the broader American  
25 public as well. As we all know, DoD-supported research is

1 now used in general society to promote economic growth and  
2 advance the nation's health care system and improve overall  
3 quality of life, things like the internet, GPS, smartphones,  
4 advanced materials, and even medical advances, including  
5 some of the vaccines being used to address the COVID  
6 pandemic today. These are all products of research  
7 programs.

8       So I hope that in this hearing we can examine how DARPA  
9 and the Services are using the resources and authorities  
10 they have been given to help us win the global technological  
11 competition against adversaries like China. And I hope that  
12 the witnesses can address any challenges that they are  
13 facing in trying to achieve that goal, and give us insights  
14 and recommendations on what this subcommittee can do to best  
15 support them.

16       I will now turn it over to Senator Ernst for any  
17 opening comments that she may have.

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1 STATEMENT OF HON. JONI ERNST, U.S. SENATOR FROM IOWA

2 Senator Ernst: Thank you very much, Chairman Kelly,  
3 and congratulations on taking the gavel for Emerging Threats  
4 and Capabilities, and I really do look forward to working  
5 together on this committee, and I appreciate your leadership  
6 in setting up the hearing today.

7 For many decades, the Department of Defense has driven  
8 global research and development to create technologies that  
9 have become the backbone of our digital society. And in a  
10 more recent example, the vaccine, as Chairman Kelly had  
11 noted, and other biomedical research done at the Defense  
12 Advanced Research Projects Agency, or DARPA, has enabled the  
13 development of the new vaccine techniques currently being  
14 used to fight the COVID-19 pandemic.

15 As a result of these and other important research and  
16 development activities, the United States military has  
17 enjoyed technological superiority over our adversaries for  
18 decades. Unfortunately, our adversaries have learned from  
19 our success and are investing heavily in developing and  
20 stealing capabilities like artificial intelligence, 5G,  
21 hypersonics, emerging biotechnologies, quantum computing,  
22 and directed energy.

23 The fiscal year 2019 National Defense Authorization Act  
24 established an independent commission to study the  
25 application of artificial intelligence to national security

1 applications. Their final report, released in March 2021,  
2 states, "The United States has neither identified nor  
3 prioritized leadership in the technologies that are central  
4 to national competitiveness."

5 In contrast, the commission's report notes that, "China  
6 is pursuing a comprehensive technology leadership strategy.  
7 China's strategic investments in key sectors through its  
8 Made in China 2025 Initiative threaten U.S. technological  
9 prowess, economic prosperity, and national security."

10 The report goes on to note that, "In addition to  
11 investments in AI, China is seeking to become a world leader  
12 in quantum, 5G, and biotech, among other areas, and sees its  
13 strategies to lead in AI and each of these other  
14 technologies as mutually reinforcing."

15 I am concerned we are not keeping up with our  
16 competitors, and I am looking forward to hearing our  
17 witnesses talk today about the research and development  
18 activities in the defense laboratories and at DARPA and each  
19 of the Service research organizations to support  
20 modernization goals of the National Defense Strategy. What  
21 can this subcommittee do to support efforts to maintain this  
22 technological superiority of our warfighters?

23 And thank you very much to our witnesses for appearing  
24 in front of this afternoon, and I look forward to your  
25 testimony. And with that, Mr. Chair, I yield back.

1           Senator Kelly: Thank you, and I will now recognize the  
2 Deputy Director of DARPA, Dr. Highnam, for 5 minutes.

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1 STATEMENT OF DR. PETER HIGHNAM, DEPUTY DIRECTOR,  
2 DEFENSE ADVANCED RESEARCH PROJECTS AGENCY

3 Mr. Highnam: Thank you. Chairman Kelly, Ranking  
4 Member Ernst, and members of the subcommittee, thank you  
5 very much for the opportunity to testify today and for the  
6 committee's strong support of DARPA over the years. My name  
7 is Peter Highnam and I am the Deputy Director of DARPA. It  
8 is a pleasure to be here with my colleagues who are  
9 representing the critical research and development functions  
10 of the United States Navy, Army, and Air Force.

11 While we work closely with each of these service  
12 organizations, DARPA has a unique mission in both the DoD  
13 community and the broader U.S. technology ecosystem. That  
14 mission is to prevent technological surprise, by making  
15 pivotal investments in breakthrough technologies for  
16 national security. What that means is we anticipate,  
17 create, and demonstrate technologies that are nowhere on  
18 technology roadmaps and often outside most people's  
19 imaginations.

20 For over 60 years, in partnership with innovators  
21 inside and outside government, DARPA has repeatedly  
22 delivered on our mission. We transformed revolutionary  
23 concepts and seeming impossibilities into practical  
24 capabilities. Examples of those capabilities include  
25 stealth technology, position-guided weapons, and unmanned

1 aerial vehicles, as well as icons of modern society such as  
2 the internet, automated voice recognition, and language  
3 translation, and GPS receivers small enough to embed in  
4 nearly any consumer device.

5 Technologies like these provide more options for our  
6 nation's leaders and the Military Services. Today, with  
7 increasingly complex challenges in a rapidly changing world,  
8 DARPA's role has never been more vital.

9 At DARPA, we think not just about scientific and  
10 engineering innovation but also about the innovation  
11 ecosystem. That ecosystem includes many overlapping and  
12 adjacent communities in academia, industry, and partnerships  
13 across the Federal Government. It includes everything from  
14 fundamental research to global-scale systems of systems. It  
15 includes innovation not only in technology but in processes  
16 and transition strategies as well. And most importantly, it  
17 includes a constantly changing crew of DARPA program  
18 managers, who come from and will return to that ecosystem,  
19 who seek to solve not just today's problems but tomorrow's  
20 as well.

21 One of the best illustrations of how DARPA is related  
22 to the COVID-19 pandemic. About 10 years ago, DARPA began  
23 heavy investments in something called mRNA vaccines. mRNA  
24 vaccines are nearly a household word today, but at the time  
25 they were much more obscure, and DARPA's investments were

1 based on the insight of individual program managers who  
2 anticipated their need for both military and public health  
3 missions. The research is now playing a leading and  
4 catalytic role in today's fight against COVID-19. In  
5 typical DARPA fashion, the agency made significant  
6 investments in technology years before it was known to be  
7 needed, leading to high-impact capabilities related to  
8 prevention, diagnostics, and treatment that have helped to  
9 mitigate the current crisis.

10 From vaccines and diagnostics to defense and offensive  
11 hypersonic technologies, state-of-the-art artificial  
12 intelligence, quantum information systems, microelectronics,  
13 sophisticated cyber solutions, inexpensive space-based  
14 capabilities, supply chain robustness and resilience, and  
15 much more, DARPA has forged new paths and continues to  
16 deliver on our mission. The culture is strong. It persists.  
17 You have an agency completely focused on the mission.

18 I look forward to working with the members of this  
19 subcommittee and others in Congress to ensure the security  
20 and resilience of our nation. I would be most pleased to  
21 answer your questions. Thank you.

22 [The prepared statement of Mr. Highnam follows:]  
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1           Senator Kelly: Thank you, Dr. Highnam. I will now  
2 recognize the Commanding General of the Army Combat  
3 Capabilities Development Command, General George, for 5  
4 minutes.

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1           STATEMENT OF MAJOR GENERAL JOHN GEORGE, COMMANDING  
2 GENERAL, UNITED STATES ARMY COMBAT CAPABILITIES DEVELOPMENT  
3 COMMAND

4           General George: Chairman Kelly, Ranking Member Ernst,  
5 distinguished members of the committee, thank you for your  
6 support and your commitment to the Army's soldiers,  
7 families, and our civilians. I appreciate the opportunity  
8 to testify alongside my DoD S&T partners, Dr. Highnam,  
9 General Pringle, and Admiral Selby.

10           The mission of the United States Army's Combat  
11 Capabilities Development Command, known as DEVCOM, as a part  
12 of the larger Army Futures Command, enables the delivery of  
13 the Army's modernization priorities through advancing  
14 science, technology, and engineering. Futures Command leads  
15 the persistent modernization of the Army, and it has created  
16 unity of effort to develop and deliver capabilities.

17           Under the unified leadership of Futures Command, we  
18 have evolved the way we characterize our execution of S&T,  
19 and we place increased emphasis on ensuring a balance of  
20 investment across three areas. First, technology insertion.  
21 This is focused on the delivery of S&T in support of today's  
22 signature efforts. Second is transformational capabilities,  
23 efforts that will likely transform how we will fight in the  
24 future operating environment. This is all made possible by  
25 the third, foundational research, executed by my Army

1 Research Lab, which is focused on the realm of the possible,  
2 realizing collaboration with our external academic and  
3 industry partners.

4 To inform both transformational capabilities and  
5 foundational research, DEVCOM executed Futures Command's  
6 technology deep dives in support of the Army's research  
7 priorities. Along with our government and academic  
8 partners, and hundreds of participants, we have reviewed the  
9 Army's technology focus areas in advanced spaces like  
10 quantum, hypersonic flight, convergent manufacturing,  
11 autonomy, and synthetic biology.

12 Leveraging this three-part S&T construct and expanding  
13 our definition of success beyond just the transition of  
14 technology into programs, one initiative under Army's  
15 Futures Command that has changed the way that we pursue  
16 technologies is our Ignite strategy. This strategy broadens  
17 and institutionalizes the impact of S&T by having science  
18 shape concepts and technology-informed requirements.

19 Last year we established the Virtual Enterprise  
20 Collaboration Platform with more than 600 partners across 35  
21 Army organizations, and we led more than 20 workshops to  
22 inform how and what we will fight with differently in the  
23 future. This has brought together people from all four  
24 services, 13 partner nations, industry, other government  
25 agencies, think tanks, and academic institutions.

1           As further representation of the change implemented in  
2 our S&T mission, the Army is expanding our use of soldier-  
3 centered design, taking potential solutions from the lab  
4 into the dirt. Routine and brutally honest soldier feedback  
5 is incredibly important to make sure we deliver what is  
6 needed.

7           In 2021, we will conduct more than 200 experiments, or  
8 what we call soldier touchpoints. We leverage this feedback  
9 to inform an agile development process where we are able to  
10 modify efforts, re-engineer products, realize cost savings,  
11 and cut the time it takes to deliver soldiers' capabilities.

12           Recognizing that the joint warfighter will need  
13 integrated capabilities that operate at machine speed to win  
14 on the hyperactive battlefields of the future, in 2020, the  
15 Army initiated our premier experimentation event, Project  
16 Convergence. This is where we went from concept to  
17 experimentation and demonstration in under 8 months. This  
18 year, for Project Convergence '21, we will expand  
19 participation and focus on joint interoperability with our  
20 DoD partners in an INDOPACOM vignette. DEVCOM is working  
21 alongside more than 75 industry partners on our technology  
22 scheduled to be in this year's event.

23           To support Project Convergence, we have recently stood  
24 up the Joint Systems Integration Lab, or the JSIL, where, on  
25 8 April, we hosted all three Service chiefs. The JSIL

1 provides lab-based risk reduction, and ultimately will  
2 enable Joint All Domain Command and Control, or JADC2.

3 All this is only possible with a continued emphasis on  
4 talent management and the future of work. We continue to  
5 explore new ways to attract and retain talent that we need  
6 to remain effective and competitive, and to do so we are  
7 leveraging our existing network. DEVCOM has regional  
8 research hubs, co-located with our strategic partners across  
9 the nation, centered in regions with existing innovation  
10 networks like Boston, Playa Vista, Chicago, and Austin. Our  
11 hubs are positioned to tap into talent where it resides and  
12 are tightly linked with outreach and diversity initiatives  
13 to work towards our goal of an expert S&T workforce.

14 So in closing, we are optimistic about our ability to  
15 shape the future. The authorities that Congress has  
16 provided us enhance our ability to enable our world-class  
17 talent, pursue lab revitalization, and acquire information  
18 technology necessary for the Army's multidomain  
19 transformation.

20 So thank you for your time and I look forward to  
21 answering your questions.

22 [The prepared statement of General George follows:]

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1           Senator Kelly: Thank you, General. And Admiral Selby,  
2 the Chief of Naval Research, is recognized for any opening  
3 remarks.

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1 STATEMENT OF REAR ADMIRAL LORIN SELBY, CHIEF, NAVAL  
2 RESEARCH

3 Admiral Selby: Good afternoon, Chairman Kelly, Ranking  
4 Member Ernst, and distinguished members of the committee. I  
5 thank you for inviting me and my colleagues to be with you  
6 today to talk about what I think is actually a critical  
7 topic at this time.

8 From a science and technology standpoint, we are  
9 clearly at an inflection point in history. As you are well  
10 aware, for the first time in several decades we are facing a  
11 near-peer competitor who is basically set on trying to  
12 displace us as the world's superpower. We are truly in a  
13 race for technological superiority. For 75 years, the Navy  
14 and Marine Corps leadership has looked to the Office of  
15 Naval Research to maintain that technological advantage and  
16 ensure that our sailors and Marines are never in a fair  
17 fight.

18 Today, more than ever, technology shapes the  
19 battlefield, whether it be on land, in the air, on or under  
20 the sea, or in cyberspace, which is why it is absolutely  
21 critical that we maintain a technological edge.

22 Having served in the role of Chief of Naval Research  
23 now for just under a year, I am focusing the Naval Research  
24 Enterprise, or NRE, on four distinct areas to do just that:  
25 people, products, processes, and partnerships. The NRE,

1 which consists of the Office of Naval Research, the Naval  
2 Research Laboratory, ONR Global, and PMR 51, has an amazing  
3 workforce of nearly 4,000 dedicated scientists, engineers,  
4 contracts, legal, and financial specialists, and military  
5 members. Like everyone, my workforce was affected over the  
6 past year by the COVID-19 pandemic, and I would like to  
7 publicly thank them for their inspiring flexibility and  
8 commitment to their work.

9 As we look to the world after COVID, I am looking to  
10 focus the workforce on modernizing the NRE talent management  
11 system through diversity, employee satisfaction, and  
12 succession planning. Central to this effort is my firm  
13 commitment to increasing awareness of the naval science,  
14 technology, engineering, and mathematics, or STEM, programs,  
15 particularly to improve engagements with our historically  
16 black colleges and universities and minority-serving  
17 institutions. The shortage of people interested in STEM  
18 careers today is a matter of national security, and the next  
19 generation of STEM professionals who will lead America needs  
20 to reflect American society. Quite simply, we have to get  
21 out kids from all locations and demographics interested in  
22 STEM today or we will be unprepared as a nation to face the  
23 challenges of tomorrow.

24 To remain relevant, the NRE must continue to provide  
25 capabilities to the fleet and Marine forces. Recently, we

1 have had success in delivering capabilities in directed  
2 energy, unmanned systems, artificial intelligence and  
3 machine learning, electromagnetic warfare, and autonomy.  
4 Specific examples, among many game-changing capabilities  
5 emerging for our fleet and Marine forces include the solid-  
6 state laser, technology maturation prototype, which is  
7 installed on USS Portland, as well as the delivery of Sea  
8 Hawk and Sea Hunter unmanned surface vessels.

9 In many cases, the successes we have in providing  
10 products today is the direct result of early-stage research  
11 conducted 10 or 20 years ago. As a sole dedicated naval  
12 investor in basic research, ONR will continue to sponsor  
13 nascent research in areas such as artificial intelligence,  
14 digital engineering, quantum computing, biotechnology,  
15 advanced manufacturing, long-range precision fires,  
16 expeditionary energy, and undersea warfare.

17 Yes, I also recognize that unlike many years ago the  
18 preponderance of technology investment today comes from the  
19 private sector and not from the government. Through our  
20 manufacturing technology and Small Business Innovation  
21 Research efforts, they continue to consistently be  
22 successful. We also need to look to new avenues for  
23 engaging across the spectrum of industry to ensure we are  
24 penetration with the private sector to the best ideas. For  
25 this reason, I view NavalX and Tech Bridges as absolutely

1 critical capabilities for connecting the Navy and Marine  
2 Corps across the innovation pipeline.

3 Similarly, within ONR, we need to continue to deliver  
4 near-term solutions to emerging fleet and Marine Corps  
5 requirements, specifically in areas of experimentation. For  
6 example, the Marine Corps just completed the Naval  
7 Integration in Contested Environments Advanced Naval  
8 Technology experiment, where several ONR technologies were  
9 demonstrated in an operationally relevant environment at  
10 Camp Lejeune a couple of weeks ago. Also, at this very  
11 moment, ONR and the fleet are evaluating some 30 new  
12 technologies as part of an Integrated Battle Problem 21  
13 exercise off the coast of Southern California. By providing  
14 our warfighters with opportunity to employ novel  
15 technologies, we provide them with the opportunity to give  
16 direct feedback to the developers and to begin to develop  
17 appropriate concepts and doctrine.

18 From a partnership perspective, I am happy to say, on  
19 the domestic front, with the organizations around this table  
20 and others across DoD and government, we are completely  
21 aligned and in sync. Internationally, as I am dual-hatted as  
22 the Chief of Naval Research and the Senior National Naval  
23 Representative, I am committed to ensuring that our  
24 international allies continue to see the United States as  
25 the partner of choice for research development investments.

1 Today, over two-thirds of global R&D investment are outside  
2 the United States, making it absolutely critical that we  
3 maintain awareness of the state of research for both  
4 partners and potential adversaries alike.

5 Fortunately, the small and agile ONR global team is  
6 equipped to do just that, through discovering emerging  
7 research around the world, helping potential partners  
8 navigate the complex U.S. R&D ecosystem, and promoting  
9 transparency and building trust.

10 Again, it is an honor to be here today, and I look  
11 forward to your questions.

12 [The prepared statement of Admiral Selby follows:]

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1           Senator Kelly: Thank you, Admiral. And now General  
2 Pringle, the Commander of the Air Force Research Laboratory,  
3 will be recognized for opening remarks.

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1           STATEMENT OF BRIGADIER GENERAL HEATHER PRINGLE,  
2           COMMANDER, AIR FORCE RESEARCH LABORATORY

3           General Pringle: Chairman Kelly, Ranking Member Ernst,  
4           and distinguished members of the subcommittee, thank you for  
5           this opportunity to provide testimony. I am honored here to  
6           join my colleagues here today.

7           The Department of the Air Force has a comprehensive  
8           technology portfolio, deep scientists and engineer bench,  
9           and world-class facilities that accelerate development of  
10          innovative technologies for airmen and guardians. I am more  
11          than confident in our team, but nonetheless, we remain  
12          clear-eyed about the challenges ahead.

13          With the complexity of an evolving landscape, as we  
14          have described here today, the Air Force Research Lab  
15          remains united as one lab supporting two services, both the  
16          Air Force and the Space Force, as we address these  
17          challenges.

18          I would like to start by highlight our substantial  
19          efforts to support the nation's response to COVID-19, which  
20          ensured the timely increase in domestic production of  
21          personal protective equipment and mitigated damage to the  
22          defense industrial base. Numerous researchers responded to  
23          the call by evaluating decontamination technologies for the  
24          Air Force fleet, building computational models to support  
25          commanders, and designing new transport systems for air-

1 evacuated COVID patients.

2 Even while responding to COVID, our S&T community never  
3 ceased in their efforts to develop transformational  
4 capabilities in line with our 2030 strategy. In fact, AFRL  
5 established a new Transformation Capabilities Office to  
6 develop a pipeline of multidisciplinary programs that aim to  
7 grow into game-changing capabilities called Vanguard. Vanguard  
8 Vanguard represent a new model for accelerating the  
9 transition of S&T successes across the valley of death, and  
10 we look forward to results from these Vanguard in the near  
11 future.

12 Still more opportunities exist in the space domain.  
13 Though we have long recognized the importance of space  
14 superiority, AFRL has taken new steps to demonstrate its  
15 commitment to both the Air Force and Space Force as  
16 independent services. As always, we will continue to  
17 encourage problem-solving across multiple domains, multiple  
18 disciplines, and actively develop cross-cutting solutions.

19 The commercial space sector has also energized a number  
20 of public-private partnerships and CRADAs with companies  
21 looking to use our facilities and leverage our expertise,  
22 resulting in mutually beneficial endeavors.

23 In fiscal year 2021, AFRL strengthened its partnerships  
24 by standing up a new Strategic Partnering Office, as well as  
25 bolstering our relationship with nontraditional companies.

1 Leading in this area, AFWERX awarded contracts worth over  
2 \$700 million to 1,400 small businesses, with over 75 percent  
3 of the recipients being new partners to the DAF. We are  
4 excited to access so many new sources of innovation.

5 To better leverage extramural research advancements,  
6 our team works closely with academic institutions across the  
7 nation to shape our research and grow a larger, highly  
8 talented pool of U.S. citizens skilled in tech areas we  
9 need. Altogether, these robust networks of partnerships,  
10 which include 23 international allies, NATO, and Five Eyes  
11 partners bring a wealth of knowledge and domain-specific  
12 expertise to ensure we stay on the cutting edge of research.

13 To build the best team, AFRL developed a new approach  
14 to human capital that incorporates industry best practices  
15 and seamlessly integrates the human capital lifecycle. We  
16 greatly appreciate the numerous authorities that Congress  
17 has provided to recruit, hire, and retain top talent. In  
18 particular, the Direct Hire Authority enabled the use of  
19 executive headhunter firms for hard-to-fill positions, such  
20 as the enhanced pay authority, which we have fully employed.

21 Every day we seek opportunities to achieve future  
22 operational dominance through investment in relevant  
23 scientific areas such as artificial intelligence, autonomy,  
24 biotech, cyber, quantum, direct energy, microelectronics,  
25 and hypersonics. Horizon scanning enables us to invest in

1 ways that avoid duplication and build complementary efforts  
2 with our sister services, DARPA, and others.

3 Despite COVID-19, we had an exceptional year responding  
4 to both emergent and enduring technology needs, while  
5 pushing the leading edge of science. I would be happy to  
6 provide more details.

7 Above all, we have a workforce that is truly special,  
8 driven by a tireless devotion to learning difficult  
9 specialties, and applying expertise and creativity to novel  
10 problems. Thank you for your strong support of the Air  
11 Force and Space Force S&T, the authorities that you have  
12 provided, and this opportunity to testify.

13 [The prepared statement of General Pringle follows:]  
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1           Senator Kelly: Thank you, General, and thank you to  
2 everyone for your testimony.

3           So before we begin questions, let me remind everybody  
4 how we are going to proceed here with the remote  
5 participants. We do have some. I want to let everyone know  
6 how things are going to run.

7           So since this is impossible to know exactly when our  
8 colleagues who be joining remotely arrive, we are not going  
9 to be following what is called the standard early bird  
10 timing rule. Instead, we will handle the order of questions  
11 by seniority, alternating sides until we have gone through  
12 everyone. And once we reach the end, if there is anybody  
13 that is missed we will go back and start at the top again  
14 until everybody has had a turn.

15           With that, I am going to begin our 5-minute round of  
16 questions. So this is for all the witnesses, and I want to  
17 talk about semiconductors for a moment. In last year's  
18 NDAA, we authorized the Chips for America Act, and I am  
19 currently working with colleagues to ensure we can provide  
20 the funding necessary to address current supply chain  
21 vulnerabilities.

22           Can you speak to why semiconductors and  
23 microelectronics are important for our weapons systems and  
24 for our national security?

25           General George: Chairman, thank you for the question.

1 I will start the team down here. So first of all,  
2 semiconductors are in nearly every piece of kit that we  
3 field to our soldiers in our formations, and, you know, we  
4 have part of our command that looks at the integrity and the  
5 vulnerabilities of semiconductors, as a part of the systems  
6 that we field. We certainly recognize that the supply chain  
7 is at risk and that we have got to be very careful to know  
8 the origin of the semiconductors that are going into our  
9 critical capabilities. Especially as we get into things  
10 like AI and machine learning, the speed of our systems and  
11 the decision algorithms that we use have got to be reliable  
12 and have got to move fast.

13 Admiral Selby: I will just continue that. So clearly  
14 semiconductors are in all of our, you know, microelectronics  
15 for our radar systems, our communications systems, our high-  
16 performance computing systems, for AI, and all the things we  
17 are trying to do. As you are all well aware, the majority  
18 of that has been offshored over the last several decades, so  
19 it is a critical concern that there is obviously a  
20 vulnerability there for the supply chain as well as  
21 potential vulnerabilities for other aspects of what could be  
22 done to the electronics when it is offshore.

23 So I think we are all working together to try to combat  
24 that, to come up with strategies, but the things the Hill  
25 has done over the years to help us with that is going to be

1 critical to making this effective.

2 Senator Kelly: Do any of the labs help manufacturers  
3 or help develop technology that could help a U.S. company,  
4 let's say Intel, lead to developing, let's say, a five-  
5 nanometer chip or even beyond that, 3 or 1 nanometer? I  
6 mean, there is a path to get there. Do any of the labs work  
7 specifically in developing that technology?

8 Admiral Selby: I think we all are. Naval Research  
9 Lab, for instance, is doing a lot of work now. But just  
10 historically, gallium nitride, what is in your phone and  
11 your flat-screen TV, was technology developed with others  
12 but Naval Research Lab played a pivotal role in that, and  
13 the Naval Research Lab today is doing additional work on  
14 future concepts. So yes, sir.

15 General George: And the Army Research Lab is also  
16 looking at the materials as a part of the conductor  
17 business.

18 Senator Kelly: General Pringle?

19 General Pringle: Thank you, Chairman. There are three  
20 things that I would like to add here. First is that we are  
21 looking to adopt commercial advances and validate the  
22 processes that they are using in the microelectronics chips  
23 area, because we need to ensure that what we are getting is  
24 adhering to the secure processes that we need. We are also  
25 looking to give them, researchers, tools, and we are working

1 on a prototype for lithography that will meet our very  
2 unique military needs and addresses a low-volume production  
3 capability, and we are hoping to see that come to fruition  
4 this year.

5 And thirdly, and not least importantly, is developing a  
6 skilled workforce, and all of us are working together on  
7 developing the capabilities in our teams so that we can be  
8 good consumers of the microelectronics and knowing what we  
9 are getting. Thank you.

10 Senator Kelly: Dr. Highnam?

11 Mr. Highnam: So back in 2017, DARPA announced the  
12 Electronics Resurgence Initiative, which is \$1.5 billion  
13 over 5 years. In that work which is within DARPA is a  
14 portfolio of research programs, intel cooperation, and  
15 video. All the major U.S. players and some non-U.S. players  
16 are participants, both the boundary aspect to the  
17 [inaudible].

18 One thing that is certain is that these days half of  
19 the periodic table is involved in these devices. There is  
20 nothing simple about them. They have evolved massively over  
21 the 40 years ago, since they were introduced. And the  
22 [inaudible] DARPA way back, actually, [inaudible] were  
23 available to DARPA funding back before the dawn of man, it  
24 feels like, but back in the '80s, to make the chip possible  
25 [inaudible]. So today, as we look at the evolving worldwide

1 picture, as you know, the bulk of the semiconductors are  
2 made overseas. So we are working to bring it back onshore.

3 Then, behind that is the packaging. There is the new  
4 types of design. There is working with existing designs to  
5 make them secure, and we saw [inaudible] over the last  
6 couple of years in existing hardware. We announced a  
7 [inaudible] with Intel about a month ago to do exactly that,  
8 to working with security at two sites.

9 So for us it [inaudible] systems, from the initial  
10 [inaudible] all the way through. We have to address that  
11 for our nation's security.

12 Senator Kelly: Thank you, Doctor. I now recognize  
13 Ranking Member Ernst.

14 Senator Ernst: Thank you, Mr. Chair, and again, to the  
15 witnesses, thank you very, very much for being here and  
16 testifying. And, Dr. Highnam, I appreciate our conversation  
17 a few days ago, and really appreciated the insights that you  
18 were able provide.

19 The Department of Defense has developed a top ten list  
20 of modernization priorities that represent technology focus  
21 areas from the National Defense Strategy. And they include  
22 artificial intelligence, biotechnology, autonomy, cyber,  
23 directed energy, fully networked command, control, and  
24 communications, microelectronics, quantum science,  
25 hypersonics, space, and, lastly, 5G.

1           How do you assess, Dr. Highnam, the United States  
2 standing amongst our global competition to develop, adopt,  
3 and deploy these technologies for national security,  
4 especially in light of significant commercial sector  
5 investments and progress in development of emerging  
6 technologies.

7           Mr. Highnam: Great. One of the most important  
8 questions. Over the last two decades we have seen the  
9 democratization in technology and science worldwide. So  
10 everything we have access to, they have access to, all that.  
11 They can be more focused than we are, in some ways. Certain  
12 countries, there is an alignment of both civilian and  
13 military structures in ways that we are not.

14           I have viewed this for a decade now, myself, as a  
15 [inaudible]. We have to work really hard to stay in the  
16 lead, and we are, and I see no reason that that will not be  
17 the case across the board.

18           Senator Ernst: And what specific recommendations then  
19 do you have for actions in policy programs or organizational  
20 reform that this committee or the DoD should pursue to  
21 improve the Department's ability to develop, adopt, and  
22 employ these types of technologies for national security?

23           Mr. Highnam: I think the presumption of the question  
24 is that it is your [inaudible] solved. I think it is for us  
25 to solve, and we work well together. We work well in the

1 Department. There is a lot more we can do. I am sure there  
2 will be specific pain points and such. But certainly at  
3 DARPA, everything we do, we work with the external ecosystem  
4 to build results. We are bringing people from there and we  
5 send them back to there. And the only reason they come to  
6 DARPA is to make a difference, and ambition.

7 So I think it is our problem to solve -- thank you for  
8 the offer -- but hold us to account.

9 Senator Ernst: Thank you, and thank you for working so  
10 well and collaborating together. That is very important as  
11 well.

12 Major General George, there is a former Chinese  
13 Chairman that remarked that China would implement the medium  
14 and long-term program for science and technology development  
15 to make China an innovative country and enhance national  
16 strength. And China does aim to be the world's science and  
17 technology leader by the year 2050.

18 What do you see as the biggest challenges related to  
19 ensuring a sufficient and competitive supply of S&T talent  
20 in the United States?

21 General George: Senator, I would start by saying I  
22 think you are spot on that our people are the foundation and  
23 the rock of our future success. And for us it is creating a  
24 flow of STEM, the sustainable STEM personnel, from K through  
25 12 right now, that is sustainable through the future.

1           So it is a whole-of-government, a whole-of-nation issue  
2 that we have to wrestle with to put the right policies and  
3 for us to have the right authorities to work with our  
4 universities to pull in expertise. But it is that flow of  
5 STEM that I think is the largest single factor for our  
6 future success, to have the talent in the right place and in  
7 the right competencies.

8           Senator Ernst: And are there discussions then, openly,  
9 with universities or colleges or other areas of academic  
10 where you are letting them know maybe what we need as a  
11 military so that you can work on program development,  
12 whether it is in K-12 or at our universities?

13          General George: Absolutely. As a matter of fact, we  
14 have got a program with a couple of universities in North  
15 Carolina as well as Tennessee that will work directly with  
16 our soldiers to help establish what are the problems, and  
17 then as a result of that, we are informing our universities  
18 on the problem sets if we actually have a soldier in  
19 formation level.

20          Senator Ernst: Okay. Fantastic. Thank you, Mr.  
21 Chair.

22          Senator Kelly: I now recognize Senator Kaine for 5  
23 minutes.

24          Senator Kaine: Thank you, Chair Kelly and Ranking  
25 Member Ernst, and thanks to our witness.

1           General George, last year the DoD launched something  
2           called Project Pele, and that was to design, build, and  
3           demonstrate a prototype mobile nuclear reactor that is  
4           intended to be safe, avoid concerns associated with existing  
5           nuclear actors, and revolutionize how the Military Services  
6           deploy and operate, possibly eliminating the need to take  
7           energy by fuel trucks back and forth to forward deployed  
8           bases.

9           Could you give us some information about the status of  
10          Project Pele, and at what point in the sort of planning and  
11          development of this might the Army contemplate incorporating  
12          a microreactor into its operations?

13          General George: Senator, I know from a conceptual  
14          perspective how we operate [inaudible] gathering and looking  
15          at how we fight differently. But if I could take that  
16          question for the record, I will get you an update.

17          Senator Kaine: Excellent. I would be happy for that.  
18          Thank you, General.

19          General Pringle, I chair the Readiness Subcommittee,  
20          which is sort of acquisitions and installations and then  
21          readiness metrics. One of the areas that I oversee through  
22          that subcommittee is the military construction budget. My  
23          observation has been that every military base has a really  
24          strong advocacy for its build time projects, not only  
25          through chain of command but usually there is a surrounding

1 community that advocates strongly. And I have wondered  
2 sometimes whether there is sufficient advocacy power behind  
3 not our bases but public shipyards, armories, DoD research  
4 labs.

5 What is your assessment of the facilities that you  
6 oversee in your current position, and are they appropriate  
7 for the types of technologies that you are working on, and  
8 do we need to pay more attention to them as we work on a  
9 MILCON budget?

10 General Pringle: Senator, this is a great opportunity  
11 for me to highlight the authorities that this subcommittee  
12 has authorized, and FLEX-4 is one that I would like to thank  
13 you for this authority. This has enabled us to make  
14 improvements in a variety of lab facilities, and  
15 particularly what we have been able to do through FLEX-4 is  
16 dedicate, we dedicate, at the Air Force Research Lab -- all  
17 of us dedicate a certain percentage -- we dedicate 4 percent  
18 of our total obligation authority toward infrastructure  
19 improvements. The way that we employ this is through minor  
20 MILCON. It seems to be more conducive to the S&T projects  
21 that we are working on and the needs that our bench has.  
22 That is a \$6 million limit right now for UMMC, and if that  
23 were potentially a higher value that would also be  
24 beneficial. But we have been able to leverage it quite a  
25 bit for those purposes, and we are very grateful. Thank

1 you.

2 Senator Kaine: Great. Thank you for that. And this  
3 is a question really for anyone. We do things in the  
4 committee, and I sometimes wonder, or worry, that we do not  
5 go back a couple of years later and ask ourselves, "Well,  
6 did it work out the way we hoped? You know, too hot, too  
7 cold, just right. Here's one."

8 In 2016, the committee made a number of changes to the  
9 Department of Defense to improve acquisition outcomes. One  
10 of the changes we made was to split the Office of Assistant  
11 Secretary of Defense for Acquisition, Technology, and  
12 Logistics into two under secretariats, the Under Secretary  
13 of Defense for Research and Engineering and the Under  
14 Secretary for Acquisition and Sustainment.

15 Five years into this, what is your sense of whether  
16 that split has had any major impact on how we operate? You  
17 know, did we get it right? Should we contemplate adjusting  
18 it? Have we created consequences we did not foresee at the  
19 time? And obviously your candor is appreciated on this.

20 General George: Senator, I do not have a way of  
21 judging how it was before. The relationship that we have  
22 with R&E is working well. We have some of our science  
23 experts that coordinate and collaborate routinely with their  
24 communities of interest. And so I, unfortunately, do not  
25 have a comparison to use in this case, but it seems to me to

1 be working well as it is currently structured.

2 Senator Kaine: Your frame of reference is the current  
3 framework.

4 General George: Exactly.

5 Senator Kaine: Any others worked under the past frame  
6 of reference to have a point of comparison?

7 [No response.]

8 Senator Kaine: Okay. All right. Thank you for your  
9 answers, and I will submit that first question, just a QFR,  
10 just to be very precise about it, and I would love your  
11 answer to that, General George.

12 Thank you, Mr. Chair.

13 Senator Kelly: Thank you. Senator Cramer is recognized  
14 for 5 minutes.

15 Senator Cramer: Thank you, Chairman Kelly and Senator  
16 Ernst. Thanks to all of you for being here, for your  
17 service.

18 Now I know something, General Pringle. Whenever I go  
19 to Grand Forks and Colonel Pringle brags about his smarter,  
20 older sister, I just thought he was guilty of false  
21 humility. Now I know he is telling the truth. It is great  
22 to meet you. But I am sure hopefully he has shared with you  
23 some of his experience in Grand Forks and let you know that  
24 we have a great relationship.

25 Admiral, when you were talking about STEM and

1 specifically targeting, for example, the HBCUs, a great  
2 example of a potential workforce that might have been  
3 neglected before but presents tremendous opportunities, both  
4 for them and for you. I would say, likewise, in the middle  
5 of the country there are a lot of smart people, K-12 as well  
6 as in our universities.

7 I think North Dakota is a good example of that. We  
8 have great relationships between our bases and our  
9 universities. We have great relationships between our  
10 industry partners, the tech parks, and our bases. And,  
11 frankly, Grand Forks is a good example where the radar at  
12 Grand Forks is being used to allow industry to fly UASs  
13 without chase ships. That could not be possible without  
14 that relationship, in the middle of the country.

15 So we also have a very good state government that is  
16 cooperative and collaborative and really eager, and they  
17 have done amazing work in making North Dakota the number  
18 one-rated UAS-ready state.

19 So we have this middle America that needs to understand  
20 that their investments into future technology and that  
21 understanding does not materialize when all the tech efforts  
22 take place on the coasts. So what is the best way to get  
23 the military to utilize the great tech environment that we  
24 have built up in the middle of the country, and specifically  
25 in North Dakota? And I would let any of you answer that

1 question, if you have it. But I know that General Pringle  
2 has an insight.

3 Admiral Selby: I will start if that is okay. So I  
4 would say that, you know, we have found that in this COVID  
5 environment, Zoom, Teams, we can now reach so many more  
6 people, and we can use that forum to actually reach into  
7 communities we have not traditionally reached into. Now,  
8 hopefully we are going to be able to travel again more,  
9 which will be actually nice as well, but I think even when  
10 we can travel we should do hybrid events to try to bring in  
11 as many people as we can. Small Business Innovative  
12 Research, SBIR program. That is a tremendously valuable  
13 program. It is very powerful. It allows us to reach into  
14 small businesses in places like North Dakota, Iowa, you  
15 know, wherever, that we just have not done before. A lot of  
16 times we get kind of locally centric around our bases. We  
17 need to expand that. We need all hands on deck across this  
18 country to help us with this problem. It is a team of  
19 teams, and wherever they are, we need to go after them.

20 Senator Cramer: Excellent. Doctor?

21 Mr. Highnam: We recognize the opportunities there as  
22 well. We have been cognizant -- DARPA has been doing  
23 outreach events. We were doing them in person, so Nebraska  
24 was the first place. We went to Lincoln, with the help of  
25 the local Chamber and the university, a great couple of

1 days.

2 Sitting at DARPA we think everybody knows how to work  
3 with DARPA, which is the other side of this. So we begin  
4 with working with DARPA is a contact sport and we are here  
5 to help. We explain what we are doing. We explain the  
6 different mechanisms we have available, some of which really  
7 are tailored for fast response to small businesses,  
8 universities, and so on, who have not worked with us before,  
9 and we are there to help mentor.

10 Most recently, North Carolina, working, again, as the  
11 Admiral said, working a statewide body to help do that, and  
12 I think we have one coming up with Arizona, because we were  
13 spoken to by Business Arizona as well. So the same type of  
14 thinking.

15 There is a ton of talent. We have to introduce us to  
16 them as much get them to introduce themselves to us.

17 Senator Cramer: Sure. General?

18 General Pringle: If I may add, thank you, Senator.

19 Aside from having a brother in one of these great states and  
20 leveraging the connections there, there are authorities  
21 that, again, this subcommittee has provided, and it is  
22 through Section 244 that enables us to build consortia and  
23 to open the doors with universities and industry.

24 So we have modeled some new regional hubs, based on  
25 what the Army has done with their regions, and we are

1 establishing them in areas where we have not traditionally  
2 been focused. The Midwest is one of them, as well as the  
3 Northeast.

4 So I think that will increase attention to those areas  
5 where establishing, for example, a Space University Research  
6 Initiative and providing money to universities that want to  
7 partner with us, this is a \$6 million endeavor over 3 years,  
8 which really attracts a wide variety of universities and  
9 talent.

10 And, finally, we have a number of manufacturing  
11 institutes across the nation that help us partner with  
12 industry. And it is focused on a particular area, that is  
13 manufacturing, but as you mentioned, Senator, a lot of  
14 talent out there that we can leverage for our S&T purposes.  
15 Thank you.

16 Senator Cramer: Well, I would love to invite you to  
17 Grand Forks and to the University of North Dakota, and  
18 perhaps for Colonel Pringle's change of command later this  
19 year.

20 Thank you, Mr. Chairman. I yield.

21 Senator Kelly: Senator Warren is going to join us via  
22 Webex, and is recognized for 5 minutes.

23 Senator Warren: Thank you, Mr. Chairman.

24 So one of the things I have talked about a lot as a  
25 member of this committee is the importance of basic and

1 applied research funding. Early-stage research is  
2 critically important as the foundation for our nation's  
3 ability to develop new technology, and it pays off, many  
4 times down the road.

5 But too often early-stage research can get overlooked.  
6 For example, while the total RTD&E budget grew by 51 percent  
7 from fiscal years 2015 through 2020, basic and applied  
8 research funding was essentially flat, and the Department's  
9 FY 2021 request for S&T funding was actually one-half of 1  
10 percent less than it was in the year prior.

11 So my question is to our military officers, and I am  
12 just going to start with a simple yes/no here. Do you all  
13 agree that basic and applied research is critically  
14 important to our technological advantage? And let me just  
15 start with you, General George.

16 General George: Senator, Yes.

17 Senator Warren: Good. Admiral Selby?

18 Admiral Selby: Yes. Yes, ma'am.

19 Senator Warren: Good. Thank you, Admiral Selby. And  
20 General Pringle?

21 General Pringle: Senator, yes, emphatically so.

22 Senator Warren: Emphatically so. I like that even  
23 better. Thank you.

24 You know, university-affiliated research centers, or  
25 UARCs, play a pivotal role in basic and applied research.

1 Now I cannot ask the Air Force my next question because the  
2 Air Force does not have UARCs. So, General George and  
3 Admiral Selby, do you agree that UARCs provide the Army and  
4 the Navy with a good return on investment and are critically  
5 important to your Service's R&D goals?

6 General George: Senator, yes, and can I just, if I  
7 can, elaborate. MIT Institute for Soldier Nanotechnologies  
8 is a great ecosystem for us that combines soldiers and our  
9 academic institution and has promising outcomes.

10 Senator Warren: Good. I am glad to hear that.

11 Admiral Selby: And, ma'am, from the Navy, as I am sure  
12 you are well aware, we have 5 UARCs. They all have some  
13 very unique capabilities. They support us in everything  
14 from R&D through in-service support of our sailors and  
15 Marines across the fleet. So they are critically important  
16 to our success as a force.

17 Senator Warren: Good. Well, I appreciate that. I  
18 hope our Air Force witness heard that.

19 You know, in addition to helping accelerate our  
20 research and development goals, UARCs also play an essential  
21 role in the broader economy and in the defense industrial  
22 base. Since 2002 -- you raised the research at MIT -- the  
23 Institute for Soldier Nanotechnologies, or ISN, has led to  
24 the creation of 28 successful small businesses. That is 28  
25 new businesses that are hiring workers right here in

1 America.

2           Unfortunately, the ISN has seen its funding decrease  
3 from \$9.5 million in 2014 to \$4.4 million in 2021, and will  
4 potentially drop further to \$3.9 million in 2022.

5           So, General George, briefly, can you tell me why the  
6 Army has been steadily cutting funding to the ISN?

7           General George: Senator, the Army has been equally  
8 funding at this point across the four UARCs that we have,  
9 and so it is a leveling of the resources that go to each of  
10 the UARCs. You know, we have one in California and one in  
11 Georgia, Georgia Institute of Technology, as well. And so  
12 it is a part of the balance as we look for all innovative  
13 approaches in the ecosystem that we have with our  
14 universities.

15           Senator Warren: Well, I understand you are balancing,  
16 but my understanding is you are cutting ISN funding overall.  
17 Look, I get it. You need to make difficult choices in your  
18 budgets. But I have two concerns, one, that the Army is  
19 neglecting an important research area in nanotechnology  
20 while it is chasing other priorities. But as you know,  
21 research at the ISN has directly contributed to each of the  
22 Army's six modernization priorities.

23           And then, second, basic and applied research, more  
24 broadly, is the seed corn of our technological advantage.  
25 If the Department fails to properly tend to this field, we

1 will find ourselves with nothing to harvest, far behind our  
2 competitors on the technologies of the future, technologies  
3 that we do not even know about today.

4 So I am going to continue to push for DoD to fund more  
5 on this, because I think it is important.

6 So thank you all for being here, and thank you, Mr.  
7 Chairman.

8 Senator Kelly: Thank you, Senator Warren. And Senator  
9 Blackburn, via Webex, is recognized for 5 minutes.

10 Senator Blackburn: Thank you, Mr. Chairman, and thank  
11 you all for being with us today.

12 General George, I want to come to you. I know that you  
13 are aware that University of Tennessee and Vanderbilt are  
14 the first Pathfinder partnership for the Army Futures  
15 Command. And we fully appreciate. We know what that means  
16 to our state, and we appreciate that these academic  
17 researchers get to interact with the fabulous troops at the  
18 101st Airborne and also the 160th Special Operations  
19 Aviation Regiment there at Fort Campbell. And they are  
20 working on next-generation technologies and end-user  
21 insight. This is a solid partnership.

22 So what I would like to ask you, for the record, is  
23 what are the Army's biggest lessons learned from  
24 implementing this program? How could these lessons be used  
25 to inform future partnerships for emerging technologies?

1 And then how could you take this Pathfinder model and have  
2 it inform the efforts of the Services and DoD, more  
3 generally?

4 General George: Senator, thank you for that. So the  
5 Catalyst Pathfinder Program, as you well know, is really in  
6 its infancy. We have got a great start, especially with  
7 Vanderbilt and, as you mentioned, the 101st Airborne. And  
8 so I think what we have got to do is watch to see how the  
9 interaction between our soldiers and the academic  
10 institution, the scientists there, plays out. And one of  
11 the things we are doing is ensuring that one of our research  
12 leaders from the Army Research Lab is partnered there as  
13 well. And so as you just described, we have got to learn  
14 how this works and what the outcomes are.

15 So we are going to be looking for the outcomes to see  
16 if there are valued solutions that are proposed that could  
17 feed into Army priorities, and certainly then look to see if  
18 this is some program that we would like to expand.

19 Senator Blackburn: Okay. Do you feel like you are  
20 fully maximizing the partnerships that you have in play now?

21 General George: With the Catalyst Pathfinder  
22 partnerships, like I said, I think we have got to do some  
23 learning still. We are further along with the partnerships  
24 in Tennessee, and we are --

25 Senator Blackburn: So you have room to grow with

1 those.

2 General George: Yes, I do believe we do.

3 Senator Blackburn: Okay. All right. Let me talk to  
4 you a little bit about workforce and workforce development,  
5 because we are always focused on great power competition,  
6 China and Russia, and we know that workforce is really  
7 crucial to develop and implement some of these emerging  
8 technologies. An important part of this effort for DoD and  
9 commercial industry is to create robust and competitive  
10 hiring pipelines for STEM professionals.

11 And what I would like for you to touch on is what would  
12 be the threat to our warfighters if we were to lose the  
13 advantage we have now in AI? I included a provision in last  
14 year's NDAA authorizing the direct hiring of AI  
15 professionals. So I would like for you to touch on how that  
16 direct hiring affects or supports the work that you are  
17 doing.

18 General George: Senator, for the Army, we are  
19 liberally using the direct hiring authority, and it has been  
20 a very powerful tool for us to bring in talent at the speed  
21 that we need it.

22 Senator Blackburn: Thank you. I appreciate that. I  
23 think that as we look at these emerging technologies, this  
24 is going to be so important for us.

25 One other question I would love to ask you, as we talk

1 about AI talent and the Federal workforce and the military,  
2 what role do you see for the National Guard and our reserve  
3 components playing in this endeavor to increase this talent  
4 pool?

5 General George: Senator, we are partnered with the  
6 75th Innovation Command, which is a reserve command with  
7 Army Futures Command, and they have been very helpful  
8 bringing expertise into every conversation we have. And, in  
9 particular, since we have them and our AI Integration Center  
10 in the same command, under Futures Command, it has just  
11 increased the collaboration that we have.

12 Senator Blackburn: Excellent. Thank you. Thank you,  
13 Mr. Chairman.

14 Senator Kelly: Thank you, Senator. I am going to go  
15 to the next round of questions. There has been a lot of  
16 discussion here in D.C. lately about infrastructure  
17 spending. How do all of you -- well, I guess with this  
18 question it is probably not suited for DARPA, because it is  
19 about testing infrastructure. So for the admiral and the  
20 two generals, how do you work to maintain world-class  
21 research and testing infrastructure? For example, you know,  
22 places like the Yuma Proving Ground in Arizona, or Fort  
23 Huachuca's Electronic Proving Ground, or Pax River, where I  
24 used to fly in the test range, White Sands Missile Range,  
25 Nevada Test Range. I mean, how do you work to maintain that

1 infrastructure in those places, and places like them?

2 Admiral Selby: I will start. So, you know, as you are  
3 aware, sir, we have many warfare centers across the Navy --  
4 Carderock, Dahlgren -- all those are different warfare  
5 centers. We do a phenomenal amount of testing at all of  
6 those centers across the country.

7 You are right. The budgets are pressurized right now,  
8 but General Pringle mentioned some of the special  
9 authorities here, so we are able to use some of our S&T  
10 money to do some of the MINCON, so minor MILCON. That gets  
11 after some of it.

12 When we do have bigger projects that do come along, and  
13 they do not come along all the time, but when they do we  
14 have to really work hard to get them prioritized on the  
15 list. That is a challenge, because obviously the Navy and  
16 Marine Corps have got big bills. But, you know, when you  
17 can show the actual benefit of what we are doing for the  
18 warfighter that is relevant, because if you do not do this  
19 testing or this S&T work in a laboratory, we will not have  
20 the capability. That sells, and we are able to get these  
21 things approved. Again, it is not every year. Sometimes we  
22 have to wait a few years. But those laboratories are just  
23 absolutely critical to our ability to maintain our  
24 warfighters to be ready to deter, and, if required, to  
25 flight.

1           General George:  Senator, for Yuma, you mentioned  
2 specifically, we have had great success, even during COVID.  
3 We had testers at Yuma that were able to conduct our  
4 experiments and videotape to our engineers who were sitting  
5 Picatinny, New Jersey, so that we could keep our projects on  
6 track during COVID.

7           So the more we use our test centers, the better off  
8 they are going to be, because that is how they receive their  
9 resources, and then we are able to resource, through our  
10 projects, increases in modernizing their capabilities as  
11 well.

12          General Pringle:  Chairman, the two examples I would  
13 like to provide from a departmental standpoint, Department  
14 of the Air Force, is we have a different setup where the Air  
15 Force Test Center oversees our test infrastructure.  
16 However, from an S&T standpoint, we partner with them and,  
17 frankly, we embed some of our scientists and engineers at  
18 those locations to really get the benefit of cutting-edge  
19 science to ensure that it is going to the right test.  So  
20 whether it is Edwards, or just on Monday I was at Arnold Air  
21 Force Base and looking at the test infrastructure there, we  
22 get a synergy between looking at how we can improve our S&T  
23 infrastructure to support that mutually beneficial goal.

24          Senator Kelly:  General Pringle, is there anything that  
25 this committee could do to help build the research and

1 testing infrastructure beyond what you currently have, build  
2 it out to -- and this question is really for all of you -- I  
3 mean, anything else that you need, what could our  
4 subcommittee do to provide that?

5 General Pringle: Mr. Chairman, I would say that the  
6 authorities that we have are really great, and we have used  
7 them to the greatest extent possible. The FLEX-4 we really  
8 appreciate. If you were thinking about potentially an  
9 increase to the ceiling on minor MILCON that might be  
10 helpful. It is set at \$6 million right now. But other than  
11 that I very much appreciate the authorities we have been  
12 given.

13 Admiral Selby: I would say, sir, just continued  
14 support for S&T across the board is what we need to continue  
15 to do the great work we are all doing at these laboratories.

16 General George: For the Army, the test community is  
17 responsive to the Army Futures Command for prioritization,  
18 but they are not actually resourced through the same  
19 mechanisms that we resource our research and development  
20 community. So again, we have a great partnership. The more  
21 that we work with them, I think the better off they will be,  
22 as well.

23 Senator Kelly: Thank you. Senator Shaheen is  
24 recognized for 5 minutes.

25 Senator Shaheen: Thank you, Mr. Chairman, and I

1 apologize for missing the testimony. I was up in the Small  
2 Business hearing where one of the topics that came up was  
3 the SBIR program. And knowing that you were talking about  
4 innovation and technology, I thought it would be important  
5 to raise the issue here, in this hearing, to hear what you  
6 all think about the importance of the SBIR program, what you  
7 have seen, whether it should continue and be reauthorized.  
8 So I do not know who would like to start with answer that.

9 General Pringle: Senator, I would like to take that.  
10 Heather Pringle from Air Force Research Lab. We see the  
11 value of Small Business Innovative Research and we have  
12 fully embraced its use, especially in the last year, and we  
13 have done some really unique things with our program. First  
14 of all, we had it join our AFWERX team, and so we have one-  
15 stop shopping for innovation. And what they are doing is  
16 blowing the doors off of partnering with small businesses,  
17 and trying to make it more accessible and lower the barriers  
18 to entry to the Department of Defense.

19 So if you hear the word "AFWERX," then that makes it  
20 easier. And, in fact, if you look at our numbers from the  
21 last year, we awarded over 2,000 contracts to small  
22 businesses, and 75 percent of those contracts were to new  
23 recipients, or new partners with the Department of the Air  
24 Force. And what that does is it provides us with a new  
25 source of innovation, and only benefits our ability to

1 provide better S&T.

2 Senator Shaheen: Thank you.

3 Admiral Selby: Yeah. I will just add, we have done  
4 something similar, and we have also kind of broadened our  
5 solicitations so they were not too specific. We made them  
6 less specific. We found we got many, many new applicants we  
7 have not seen before, and we have also used virtual to  
8 actually expand our reach. So we have been having virtual  
9 meetings and bringing in hundreds, where before you might  
10 get dozens. So we have gotten many, many more  
11 solicitations. We have shortened the required inputs, sped  
12 up the contracting cycle to get the money out the door  
13 faster, to keep these companies energized. They are  
14 critical to our success as a nation, no question about it.

15 Senator Shaheen: Thank you.

16 General George: Senator, I would just echo what my  
17 partners here said. In the Army, at the Army Futures  
18 Command, they have also taken an innovative look through the  
19 Army Applications Lab, very much like AFWERX, to reach some  
20 small businesses that previously we have not been able to  
21 get to.

22 Senator Shaheen: That is great. Anything else, Dr.  
23 Highnam?

24 Mr. Highnam: Thank for the question. We make  
25 extensive use of the SBIR/STTR authorities. We also are

1 looking at our numbers. Spend about 18 percent of our  
2 annual budget with small businesses overall. And so that is  
3 coming in competitively through the SBIR/STTR programs while  
4 coming in as competitors on the regular programs.

5 If I may, there is one extension that we have taken,  
6 looking at how to protect some of these small businesses as  
7 they seek to grow, in terms of the sources of capital that  
8 they pull on. And we have launched something called the  
9 Embedded Entrepreneur Initiative, initially a pilot of 30  
10 companies in microelectronics and biotechnology, over 2  
11 years. The objectives was to have them take U.S. venture  
12 funds, and now we are scaling that up to 150.

13 Senator Shaheen: Great. That is great. Thank you all  
14 very much.

15 Mr. Chairman, we reauthorized the SBIR program through  
16 the NDAA Act in 2017 for 5 years. It is set to expire again  
17 in 2022, so I would argue that this is something that we  
18 should get refocused on and think about how we get it  
19 reauthorized. Thank you all very much.

20 Senator Kelly: Thank you, Senator, and I recognize  
21 Senator Ernst for 5 minutes.

22 Senator Ernst: Yes, thank you, and I would agree with  
23 Senator Shaheen. I think it is really important that we  
24 work in that area together, and, General Pringle, those  
25 small businesses are critical to our defense industry and

1 they are disadvantaged. And so just as Senator Shaheen was  
2 pointing out, we really do need support for those businesses  
3 to make sure that they are able to tap into that network  
4 and, of course, be able to share their developments with our  
5 defense industry. So they can be very, very helpful in our  
6 modernization efforts.

7       Again, if you would outline some of those major  
8 barriers, and are there, for the small businesses tapping  
9 into DoD and acquisitions, and as well, what can we do  
10 differently? On top of programs that are already being  
11 offered, are there other ways that we can leverage these  
12 small businesses?

13       General Pringle: Thank you for the question. As you  
14 mentioned, the small businesses are really vital to  
15 increasing our sources of innovation, and in addition to  
16 making it more accessible for them to partner with the  
17 government and with DoD, we have set up a series of phases  
18 where they can graduate from Phase 1 to Phase 2, and we have  
19 been trying to partner them with warfighter so that they  
20 look at the application of what they are doing sooner than  
21 what they might have. And we are also looking at  
22 opportunities for them to get some venture capital and to  
23 see if maybe they can raise some funds on their side. And  
24 through some of those efforts, these businesses and venture  
25 capital have been able to extend the DoD's investment in

1 these small businesses, and we have been seeing an increase  
2 in the return on investment.

3 So not only by the DoD investing in them but them  
4 getting a partnership funding that they are able to grow and  
5 expand.

6 Senator Ernst: Thank you. Yes, Admiral, please.

7 Admiral Selby: If I could just add, what we have done  
8 is we have NavalX, much like AFWERX. We use that in  
9 addition to these Tech Bridges we set up around the country  
10 to actually conduct training. So we actually do training to  
11 teach companies how to actually work with the government,  
12 because a lot of them have no idea. So we are reaching out  
13 to kind of non-traditional businesses, and then we are  
14 providing training so they understand. It is available to  
15 anybody so it is a level playing ground, but we let them  
16 know how you do business, here is how you fill out the  
17 forms, here is what you have to do, here is who you have to  
18 talk to. So we train them.

19 Senator Ernst: That is exceptional, and you do receive  
20 a large turnout in participation?

21 Admiral Selby: Yes, ma'am, and when we do it  
22 virtually, even more. That is what has really opened the  
23 doors here, because the virtual presence actually allows us  
24 to expand this far beyond what we would do if it was just in  
25 person.

1           Senator Ernst: That is fantastic. I appreciate that.  
2           And while we are with you, Admiral Selby, when we spoke  
3           yesterday you listed AI as a technology high priority area,  
4           and you also mentioned autonomous and unmanned, quantum,  
5           hypersonic, 5G, and biotech as areas for study and  
6           application, all very important. All of these areas are  
7           showing some tremendous promise for application and  
8           advantages in our warfighting. Can you go ahead and  
9           describe for us the critical factors needed from Congress to  
10          ensure that these technologies are brought to fruition?

11          Admiral Selby: I think it is pretty clear that this  
12          century is going to be basically ruled by whoever can make  
13          the decisions faster and more accurately than the adversary.  
14          It does not have to be right all the time but it has to be  
15          right more often than they are. And AI, machine learning,  
16          is going to be what will enable that for us.

17          So we have got to continue to conduct the research in  
18          those areas. We have to continue to do experimentation in  
19          those areas. We have to get the warfighters engaged to  
20          actually provide their input early. That is what we are  
21          doing right now in San Diego with this Integrated Battle  
22          Problem. We have got systems off the coast right now,  
23          unmanned systems, that are involved in a realistic battle  
24          scenario, and we are collecting that information, and that  
25          will go back into the laboratory to do additional research.

1           So the funding that you provide, the S&T funding, is  
2 going to enable that.

3           Senator Ernst: Fantastic. And I yield back. Thank  
4 you.

5           Senator Kelly: Thank you, Senator. Senator Peters is  
6 recognized for 5 minutes.

7           Senator Peters: Thank you, Mr. Chairman, and thank you  
8 to each of you for your testimony here today and for the  
9 work that you do every day for us. Incredibly exciting area  
10 that you are in, and one that changes, what, on an hourly  
11 basis? It is really highly dynamic so thank you for keeping  
12 up on all of that.

13           Actually, I want to pick up on the questions from  
14 Senator Ernst related to AI, and thinking about AI but also  
15 in relation to cybersecurity. Dr. Highnam, you mentioned in  
16 your written testimony, your work identifying cyber  
17 vulnerabilities and attributing malicious actors to specific  
18 individuals. And as I am the chairman of the Senate  
19 Homeland Security Committee -- in fact, we just had a  
20 detailed briefing on the SolarWinds today, and we have had a  
21 hearing on that and dealing with cyber threats broadly  
22 across the board -- and Admiral Selby just talking about the  
23 innovation with AI and it is the next big thing that will  
24 transform warfare and transform our societies, I want to  
25 talk about how you put the two together, the fact of

1 vulnerabilities of AI through cyber vulnerabilities.

2 My question is, how concerned are you about the  
3 possibility of malicious actors co-opting some of our AI  
4 infrastructure to actually manipulate the algorithms that  
5 are the heart of all of that, to produce inaccurate  
6 feedback? And if you have inaccurate feedback you have  
7 really bad decisions. So talk to me a little bit about what  
8 you see and what keeps you up at night in that area.

9 Mr. Highnam: AI is a technology. It runs on computer.  
10 So, as you say, computers help us make bad decisions faster.

11 Senator Peters: Yeah.

12 Mr. Highnam: I think that was the line. So when we  
13 talk about AI technologies, we are building on decades of  
14 work in signal processing and decision-making by machine.  
15 And what these AI technologies are doing, as we see them, is  
16 adding speed and flexibility where we did not actually know  
17 a physics solution, a closed solution, that we could code in  
18 absolutely, or rules to be learned. So many opportunities  
19 then for malicious actors to be involved.

20 One of the undergraduate student party tricks is to  
21 take a trained computer vision system, put a sticker on it,  
22 and this is changing a stop sign into a recorded -- turn a  
23 stop sign on a road into a 40-mile-per-hour sign.

24 Senator Peters: I have seen that, yeah.

25 Mr. Highnam: So these are party tricks. To the point

1 about the attack surface, which you are talking to, we have  
2 expanded it by adding these additional capabilities.

3 So DARPA has a lot of work going on in that space,  
4 looking at the challenges of poisoned data set for training,  
5 the challenges when someone has access to a model or knows  
6 the model that you are using, or has conventional access,  
7 conventional cyber-attack access to the computer again,  
8 which it is running on, or computers, or the sensors that it  
9 is running on, to cause a thing to happen that you do not  
10 want.

11 A lot of work underway, defense work, at DARPA, against  
12 this. And then if you step it up a little bit you get into  
13 information operations and media forensics and deep fakes,  
14 in another sense. For us this is a continuous spectrum, and  
15 we are involved in many programs, research programs, across  
16 that space.

17 Senator Peters: Yeah, critical. Good. I would love  
18 to talk to you more about that at some point.

19 Mr. Highnam: Love to.

20 Senator Peters: General George, welcome. I deal with  
21 one of your subordinate commands on a regular basis, GBSD  
22 just outside of Detroit, that does amazing R&D work for the  
23 Department of the Army. I know you already know that but I  
24 will give you another plug for them. The men and women  
25 there are truly exceptional, and we are looking for them to

1 design the next-generation combat vehicle, which is some  
2 pretty exciting stuff.

3 My question for you is, I just recently got back from a  
4 visit to Yuma Proving Grounds in Arizona, to see first-hand  
5 some of the work that you are doing with the drones and  
6 counter-drones, a threat on the battle space but a threat to  
7 the homeland security as well, where you have got these very  
8 small drones in swarms, potential swarms of those. Very  
9 difficult to defend against, and as active in the  
10 battlefield, we see what Azerbaijan has done with these  
11 types of weapons as well, that is really starting to change  
12 our thinking about how we deal with them.

13 So if you could talk to me a little bit about that work  
14 that you are doing, what is happening at the Yuma Proving  
15 Group, but where do you foresee that going. What are the  
16 major challenges that you face? How can we help here in  
17 Congress to further support your efforts to make sure we can  
18 develop the kinds of countermeasures necessary to keep our  
19 warfighter safe but also our civilians here in the homeland?

20 General George: Sure. The work that is going on,  
21 Senator, at Yuma, is actually under the Joint Counter UAS  
22 Office, that is led by the Army, in this case. So they are  
23 looking at a lot of commercially available things ready  
24 today, and we have got to learn how to use them in the hands  
25 of our soldiers and formations. So it is a combination of

1 the technology and the formations and the concept and the  
2 doctrine on how they are used.

3 Inside of our labs, we are providing a great deal of  
4 technology that feeds into those system, that will help  
5 either with an RF track, sensing, and then detecting, and AI  
6 actually helps to engage nearly automatically.

7 So a lot of great work, and as you said, the Ground  
8 Vehicle Systems Center in Detroit is leading for the  
9 protection of our platforms and certainly is following along  
10 with the Joint Counter UAS Office.

11 Senator Peters: Yeah, good. Thank you. Thanks for  
12 the work you are doing. I appreciate it.

13 Senator Kelly: Thank you. And thank you, everybody,  
14 for coming today. I just want to ask one more question to  
15 all of you, if there is anything you felt we should have  
16 asked, anything you need to make sure that our soldiers,  
17 sailors, Marines, airmen, guardians have the best technology  
18 in the world? I mean, what do you need from the United  
19 States Congress so you can do the best job you possibly can?

20 General George: I will start with just three quick  
21 things, Mr. Chairman. One is, of course, continued  
22 resourcing, predictable resources. The second is the  
23 authorities that you have given us, keep them coming,  
24 because we use them, they help us, they help us in our  
25 laboratories with our people and our infrastructure. And

1 the third, frankly, is patience, to allow us to develop and  
2 look into the future, at the technologies that just are not  
3 incremental improvements but are transformation. And so the  
4 resources that we want to use are to get the  
5 transformational capabilities out beyond the next 10 to 15  
6 years.

7 Senator Kelly: Admiral?

8 Admiral Selby: I would say that continued support in  
9 the S&T realm is really important. Senator Warren said it,  
10 but, you know, sometimes it is hard to kind of explain what  
11 you do in the 6.1 and 6.2 world. But what we are trying to  
12 do is develop knowledge, technology, and people, people that  
13 have the insight, and that is critical to furthering our  
14 capabilities in the science and technology world that will  
15 one day become capability. So continue to support that, and  
16 I would say, in addition to the existing authorities that we  
17 already have, the Small Business Innovative Research is  
18 critical to having that continue for our success.

19 Senator Kelly: Dr. Highnam?

20 Mr. Highnam: First, again, echoing support. Direct  
21 hiring authority is very important for DARPA, among other  
22 support that you provide. We move quickly, and that is key  
23 to doing that.

24 Second, as seen with the partners here, it did not come  
25 up in detail but we make extensive use, and work with them.

1 They say "exchange prisoners." Their people come work at  
2 DARPA for tours. Our people go there. We use the testing  
3 infrastructure. We use the subject matter expertise. It is  
4 deep in these places that we do not have, because, by  
5 design, DARPA people, myself included, are ephemeral. We do  
6 a tour; we leave. These folks have hypersonics expertise in  
7 Eglin that has been developing in one place for decades. It  
8 is enormously important. So we need those strong partners.

9 And third, a newer one, perhaps. There is an  
10 increasing emphasis on supply chains. We talked briefly  
11 about microelectronics, when we spoke sometime in the last  
12 week. We talked a little bit about medications and what  
13 that has meant through COVID, and the work that we have been  
14 doing to battlefield medicine, for example, medicines in  
15 austere conditions, now bringing it back to the U.S. And  
16 this is, for example, there are several small companies that  
17 we have helped to foster and creating working in that area.  
18 But then beyond that, chemicals. We talked about  
19 microelectronics and sand to systems. At the beginning of  
20 that whole process there are basic chemicals. When we talk  
21 about visors or protective gear for biosuits, where do these  
22 specialized chemicals come from? Too often, not here.

23 So we are very interested in this as a manufacturing,  
24 almost as a problem, but supply chain in general. And the  
25 fact that DARPA is spending much of its time thinking about

1 how to secure supply chain issues is time we are not  
2 spending on other things. So we have to do it, and your  
3 support and recognition of that would be much valued.

4 Senator Kelly: Thank you, Doctor. And General  
5 Pringle, final word.

6 General Pringle: Thanks, Mr. Chairman. I echo and  
7 support everything that my colleagues here today have  
8 mentioned, and just would add a couple of things. First, as  
9 we build the A-team to meet the challenges of the future, we  
10 do not know what the threat will be, and so extending our  
11 partnerships wide is really important. So continue the  
12 authorities that help us build strong consortia.

13 We have to balance the ability to partner with the  
14 ability to protect, and to build a critical U.S.-skilled  
15 tech workforce. And so that is another balance that we will  
16 need to make going forward.

17 In addition, the authorities that you provide to give  
18 us greater access to tools and infrastructure I think are  
19 very, very helpful, and particularly in the digital realm.  
20 So the one thing that is going to help us go faster in the  
21 future is that digital connectivity and digital  
22 infrastructure that underpins it all.

23 So I really thank the committee for all their support,  
24 S&T and the authorities that you have provided.

25 Senator Kelly: Well, thank you. Thanks to all our

1 witnesses for participating. This was very valuable and an  
2 important hearing, and we look forward to working with  
3 everybody in the future. And this hearing is adjourned.

4 [Whereupon, at 4:04 p.m., the subcommittee was  
5 adjourned.]

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